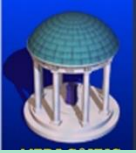
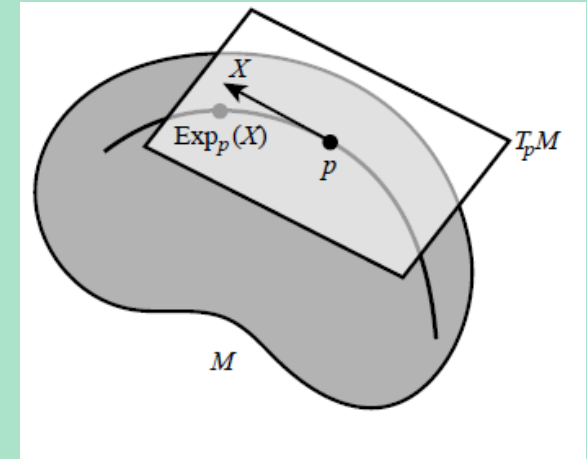


# Summary:

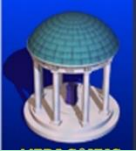


## Shape Representations and Statistics

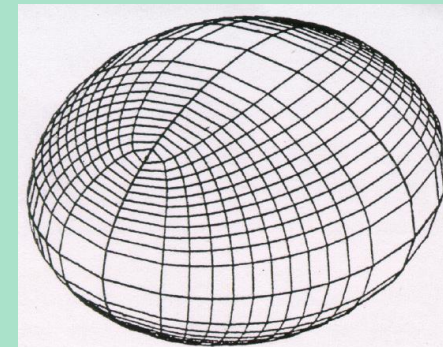
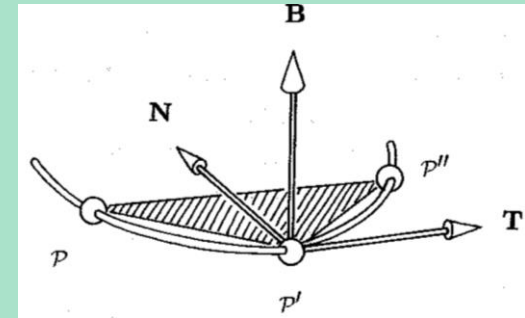
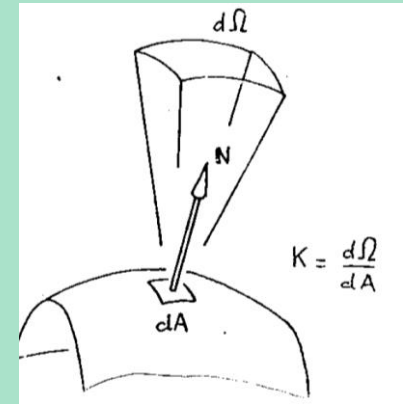
- Shape Representations
  - Local Properties
  - Shape Spaces
  - Object vs. Diffeomorphism representations
    - Multi-entity objects, shape over time
- Shape statistics
  - Over Euclidean spaces
    - PCA, DWD, Permutation methods of hypothesis testing
    - Over diffeomorphism momenta
  - Euclideanization, esp. PNS, log (positive feature)
  - Over curved manifolds
  - Correspondence



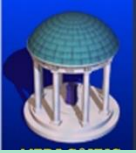
# Local Properties



- **Normal directions and tangent directions**
- **Fitted frames to boundary**
  - Later: to interior via s-reps onion skins
  - Space curves: Frenet frames, curvature, torsion
- **Curvatures: curves and surfaces**
  - Esp. vertices and crests
  - Curvedness (C) and shape type (S)
- **Manifolds and geodesics**
- **Distance measures**
  - Riemannian metrics
  - Metric tensor:  $M_{II}$



# Shape Representation Categories



- Landmarks

- **Objects**

- Boundaries

- Points
    - Normals
    - Spherical harmonics
    - Signed distance images

- **Skeletal models**

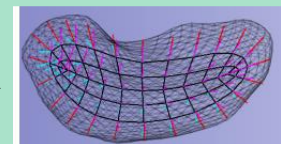
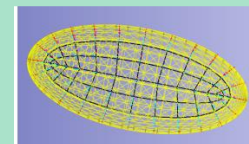
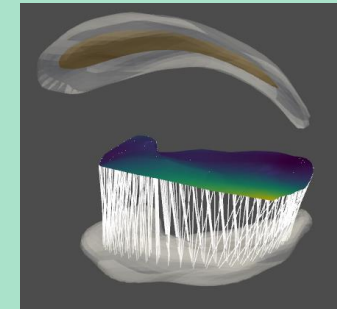
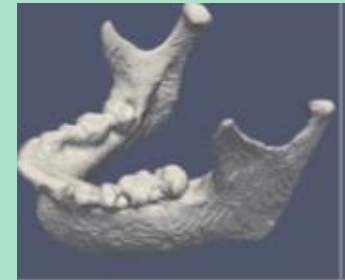
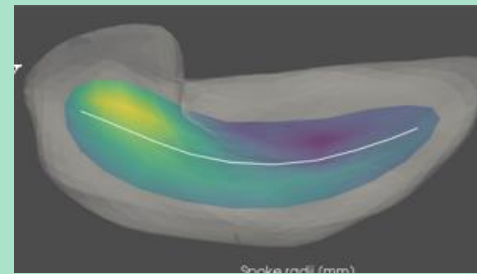
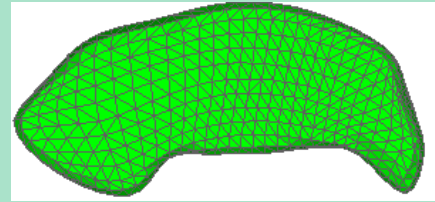
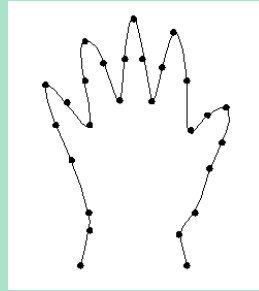
- Multifigure models

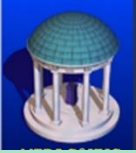
- Landcurves: currents

- Multi-object representations

- **Diffeos from a central example**

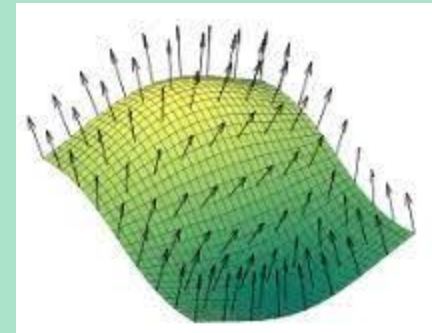
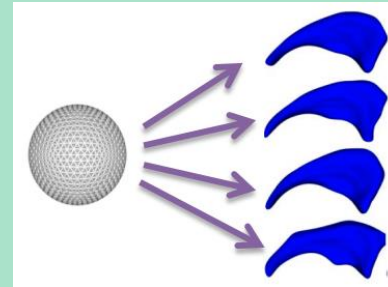
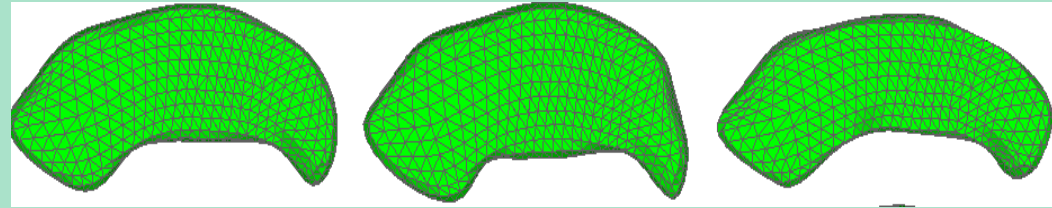
- From boundaries of mean
  - From s-reps of ellipsoid

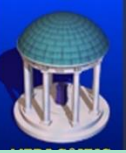




# Shape Representation by Boundary Points

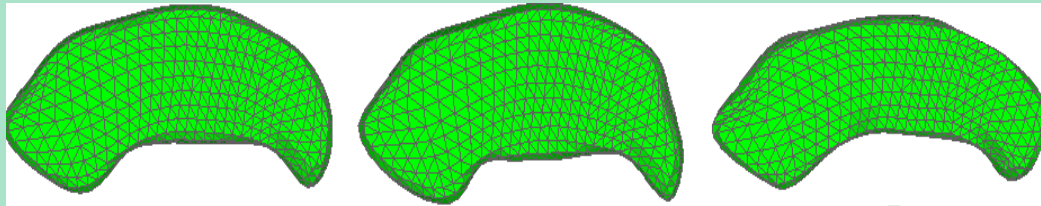
- Points in correspondence (PDM); or Meshes
  - Correspondence produced by
    - Diffeomorphisms
    - Skeletal models
    - Entropy minimization
- Spherical harmonics
- Points with on landcurves (Currents)
- Normals with correspondence mod-ed out
- Signed distance images, esp. for 3D visualization
- Alignment by Procrustes
- Aligned PDMs on high-dimensional sphere



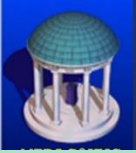


# Shape Representation Designed for Correspondence

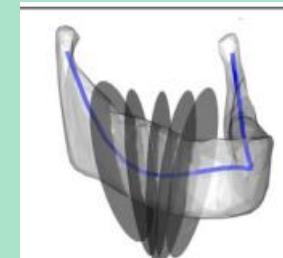
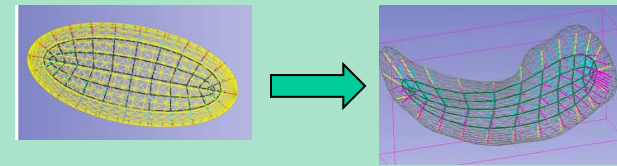
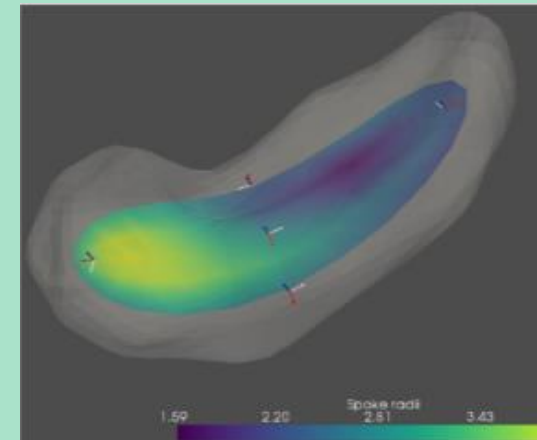
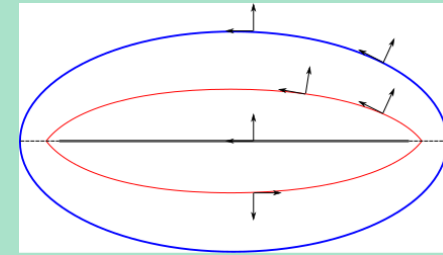
- Normals with correspondence mod-ed out [Srivastava, Kurtek]
- Skeletal models fit from ellipsoid
  - Interior positions correspondence
- ?? Diffeomorphisms based on boundaries
  - Points
  - Curves, e.g., crests

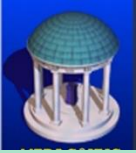


# Shape Representation by Skeletal Models



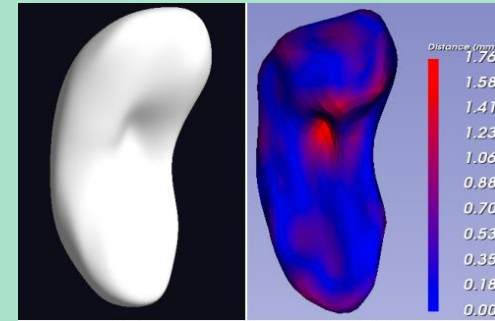
- Medial and skeletal mathematics
  - Blum medial axis: bitangent spheres
    - Geometric relations among axis and width
    - Singularities: branching, ends, etc.
    - Radial shape operator  $S_{\text{rad}}$
    - Radial distance
      - Geometry of onion skins
    - **Cm-reps** based on Blum math
- Skeletal generalization: **S-reps**
  - Skeleton and spokes
  - Discrete s-reps
  - Deformation from ellipsoids
    - Alignment-free coordinates
    - Fitted frames
  - Slabular planar cross-section sweeping
    - Taheri s-reps: spine





# Shape Representation by Skeletal Models

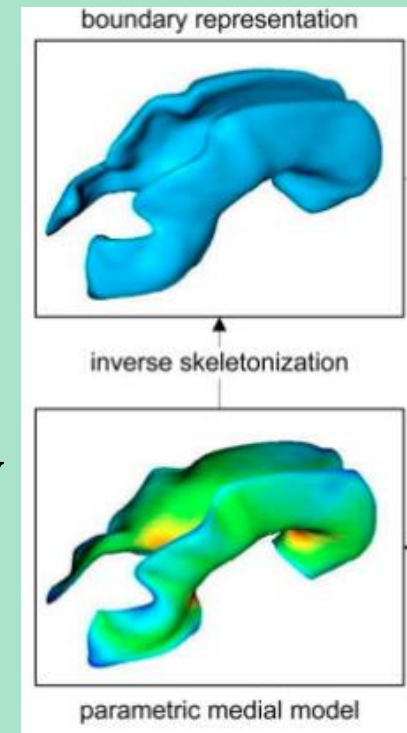
- S-reps
  - Fitting to boundaries
    - Optimization



Bdry implied  
by s-rep

Target object

- Cm-reps [2 lectures by P. Yushkevich]
  - Based on mesh and Blum conditions
    - **Explicit: inverse skeletonization using biharmonic PDE**
    - **Implicit: deformation of boundary & medial locus preserving medial linkages to boundary**
      - Like s-reps, starts from model with known branching topology and medial locus
    - Based on splines in  $\underline{x}$  and width



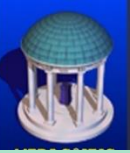
# Euclidean Statistics Methods



- Means
- PCA: feature reduction and removal of noise
- Classification
  - Producing separation direction
  - Multi-entity analysis: DIVAS
- Hypothesis Testing
  - Permutation tests
  - Corrections for multiple tests
- Segmentation by posterior optimization
  - Priors via shape representation statistics
  - Likelihoods via shape-based coordinates
- Longitudinal methods



# Euclidean Statistics Methods, 2

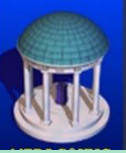


- PCA: feature reduction and removal of noise
  - Eigenanalysis of covariance
  - Features via inner product with eigenvectors
  - And other related producers of modes of variation
- Classification
  - Producing separation direction
    - Distance-Weighted Discrimination
      - $\sum 1/r + \sum$  misclassification penalties
    - Kernels, esp. radial basis functions
    - Multi-entity analysis: DIVAS
  - Producing & using histograms along separation direction

# Euclidean Statistics Methods, 3



- Hypothesis Testing
  - Permutation tests
  - Corrections for multiple tests
- Segmentation by posterior optimization
  - Priors via shape representation statistics
  - Likelihoods via shape-based coordinates
  - S-reps provide both
- Longitudinal methods
  - Variations on the Euclidean space
    - Curves over  $t$  for intra-subject distances
    - Inter-subject distances between intra-subject curves
    - Generalized linear models
  - Diffeomorphisms' momenta
    - Intra subject diffeos over  $t$
    - Inter-subject diffeos



# Applicability of Marchenko-Pastur

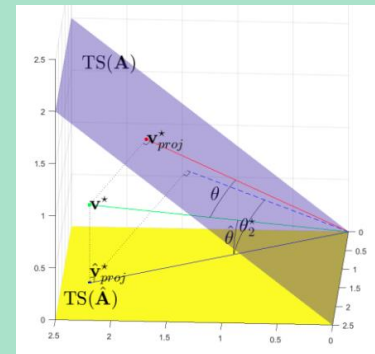
## Analysis on PNS modes [Choi]

- Our “eigenanalysis” is via PNS, i.e., on sphere
  - Hyo Young Choi studied this problem
    - By producing derived features from the sphere that do follow the MP distribution
      - But she only studied great subspheres, whereas the common analysis uses small subspheres, when hypothesis test supports
    - Besides dealing with the actual subsphere approach
- But the open problem remains: How to select the eigenmodes by depolluting the MP-plot, given noise properties from high eigenvalues which show  $\sim$ pure noise

# Euclidean Statistics Methods, 4

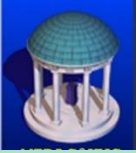


- Multi-entity statistics (DIVAS, AJIVE)
  - Noise removal via PCA of each entity
  - Space of subjects: tuple of noise-removed features based on PCAs
    - Subspaces each specified by orthogonal linear combinations of subjects-space features
  - Subspace of joint features via Principal Angle Analysis
  - Subspaces of individual features for each individual
    - Orthogonal to joint subspace
    - Not necessarily orthogonal to subspace of other individuals



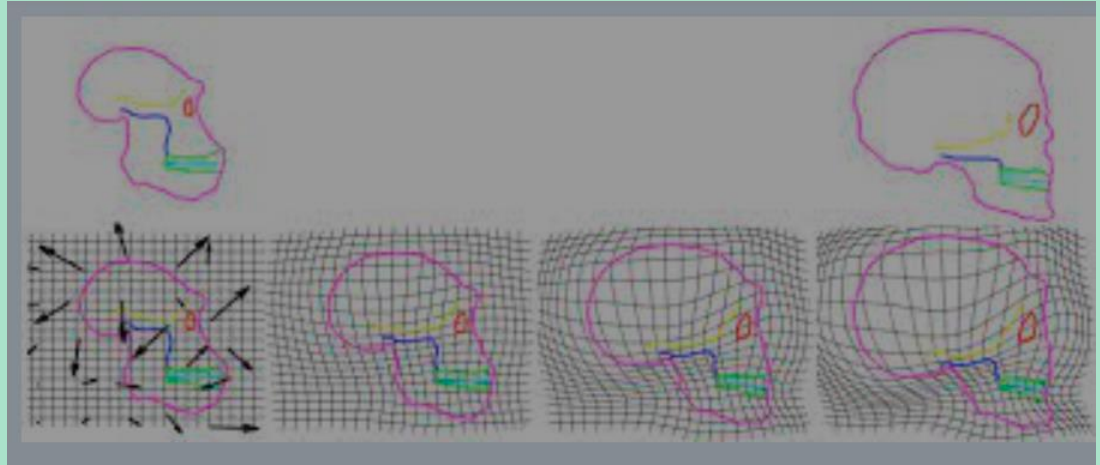
from Prothero et al.

# Shape Representation by Deformations



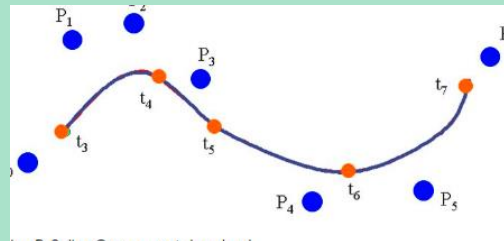
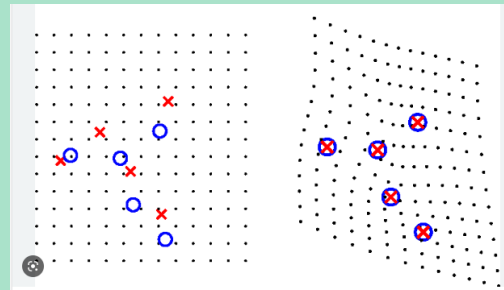
- Diffeomorphisms: velocities

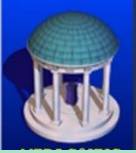
- Points data
- Currents data
  - For landcurves
  - For surfaces



- Displacements

- Thin-plate splines
- B-splines
- Elastic deformations





# Thin Plate Splines Method

- Fast: based on a solution to linear equations
  - Typically preceded by optimum affine transformation
- Elastic warp in each variable
  - $\underline{\mathbf{x}}'(\underline{\mathbf{x}}) = \underline{\mathbf{c}} + \mathbf{A}\underline{\mathbf{x}} + \sum_j \underline{\mathbf{w}}_j U(|\underline{\mathbf{x}} - \underline{\mathbf{x}}^j|)$
  - Basis functions  $U(|\underline{\mathbf{x}} - \underline{\mathbf{x}}^j|)$  depend on moving image's landmarks  $\underline{\mathbf{x}}^j$ 
    - Radial bases:  $U(d) = d^2 \log d$  for 2D,  $d^3$  for 3D
- Solve linearly for  $\underline{\mathbf{c}}$ ,  $\mathbf{A}$ ,  $\{\underline{\mathbf{w}}_j\}$  based on  $\{\underline{\Delta}\underline{\mathbf{x}}^j\}$
- Minimizing Frobenius norm:  $\int^{\infty \text{ space}} \sum_{\text{all}} 2\text{nd partial derivatives}^2$ , so smooth
  - 27 terms for 3D: 9 for  $\Delta x(x,y,z)$ , 9 for  $\Delta y(x,y,z)$ , 9 for  $\Delta z(x,y,z)$
- Not necessarily diffeomorphic; may produce folding
  - Normally OK if displacements  $\ll$  inter-landmark spacing
- Not symmetric, not affine invariant

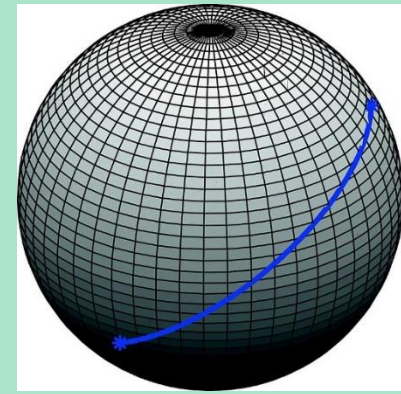
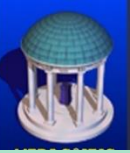
# Large Deformation Diffeometric Metric Mapping (LDDMM) Methods



- Consider the shape space of diffeomorphisms
- Let metric on that space measure spatial smoothness within a velocity image
- We want the shortest geodesic from Identity mapping to the diffeomorphism that maps the corresponding points onto each other
- Typically requires iterative optimization
- Implementations
  - Deformetrica
    - Can also use corresponding space curves
  - Joshi

# Statistics on Curved Manifolds

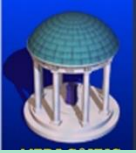
[Esp. Fletcher lecture]



- $\text{Exp}_p$  and  $\text{Log}_p$
- Fréchet and backwards means
- Geodesics
  - And other polynomial generalizations
- Shape spaces, esp. spheres and polyspheres: PNS
- Classification via separating directions
  - Esp. DWD
    - Advantage over SVM
- Longitudinal statistics
  - See later: longitudinal stats via diffeomorphisms

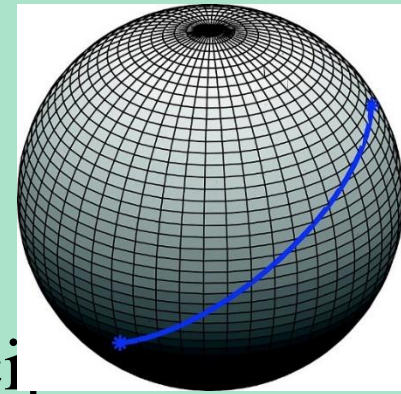


# Statistics on Curved Manifolds, 2

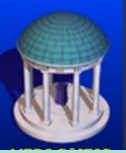


## [Esp. Fletcher lecture]

- Geodesics
  - Representation by point and direction
  - Generalization of line in Euclidean space
  - Yielding distances, thus Fréchet mean, principal directions
  - Polynomial generalizations
- Shape spaces, esp. spheres and polyspheres: PNS
- Classification via separating directions
  - Esp. DWD
    - Advantage over SVM
- Longitudinal statistics
  - Like Euclidean, but using geodesics, etc.
  - See later: longitudinal stats via diffeomorphism

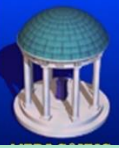


# Statistics in Shape Spaces



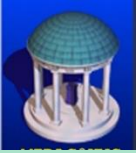
- Commensuration: scaling and weighting
- Euclideanization
  - Positive scalars
  - Directions
  - Normalized PDMs
- Directly on curved manifold

# Gaussians

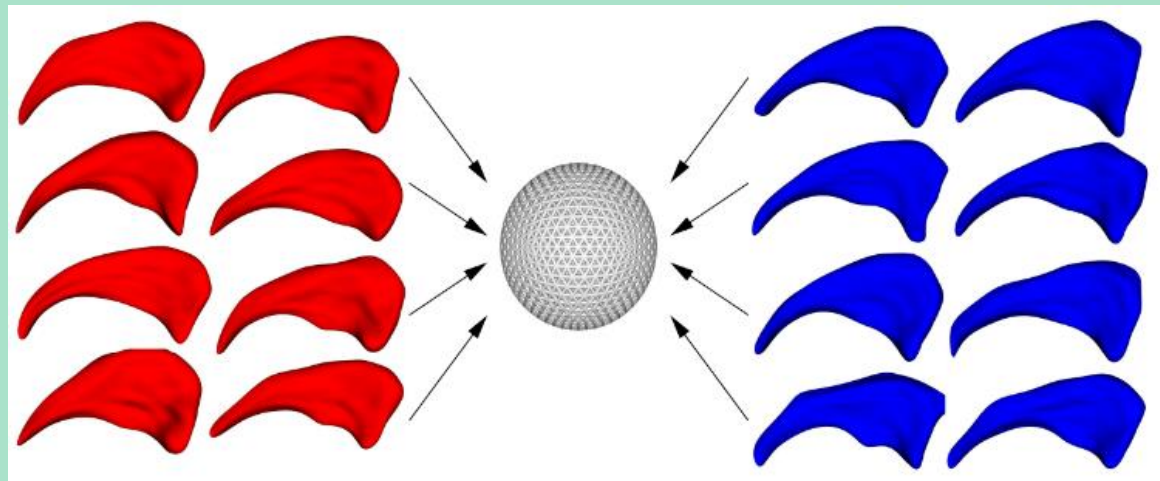
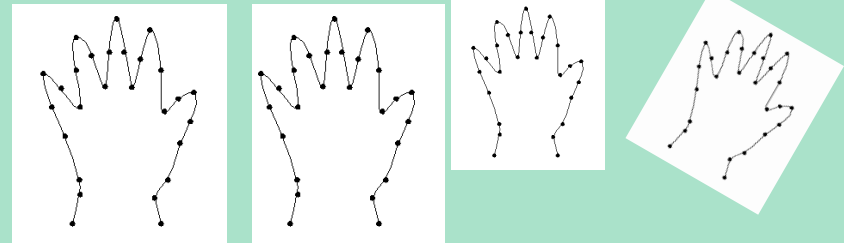


- Importance
- Relation to PCA
- Production
  - Analytic form in Euclidean space
    - Given Principal frame  $R$  and eigenvalues  $\Lambda$
  - Diffusion:  $\partial f(\underline{x}, t) / \partial t = \nabla^2 f$  with  $f(\underline{x}, 0) = \delta(\underline{x})$  with  $t = \sigma^2 / 2$
  - Brownian motion
- **On curved surfaces, esp. spheres**
  - Wrapped Gaussian
  - von Mises distribution
    - ~ wrapped Gaussian on sphere
    - With an analytic form not needing sums over wraps
    - Most commonly used due to its rather simple form
  - Brownian motion (random walks)
    - Moving frames [Sommer]

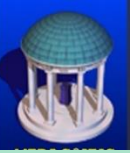
# Statistics on PDMs



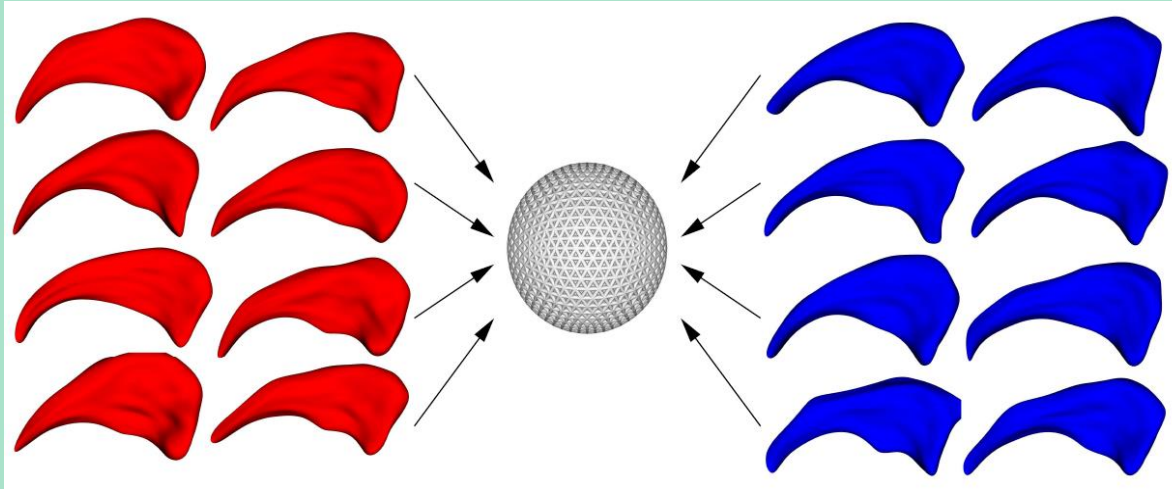
- Procrustes alignment
  - Centering
  - Scaling via  $\Sigma$  squares
  - Rotation via 2<sup>nd</sup> moments
- Principal nested spheres for feature reduction
- Transformation to spherical harmonics coefficients



# Statistics on PDMs Transformed into Spherical Harmonics Coefficients

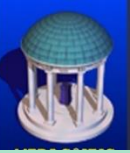


- Each object mapped from sphere:  $\underline{x}(\theta, \phi) = \sum_i \underline{b}_i \psi^i(\theta, \phi)$ 
  - Discretized with equal area spherical triangles
  - Can do Euclidean statistics of the  $\underline{b}$  values over a population
  - $\underline{b}$  values are determined globally

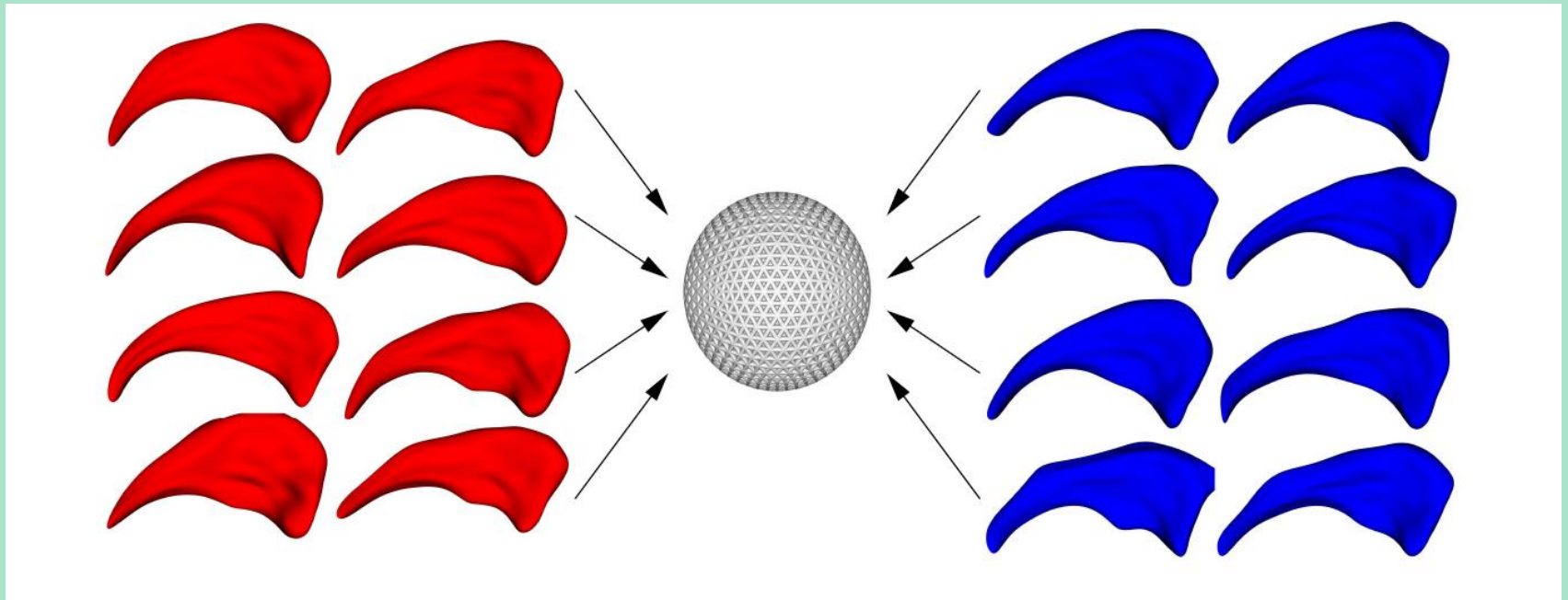


- Object features: coefficients of basis functions on the sphere
  - Basis functions organized by frequency in latitude and longitude
  - From  $\underline{x}(\theta, \phi)$ , coefficients easily obtained by dot product w/ basis
  - For any  $(\theta, \phi)$ ,  $\underline{x}(\theta, \phi)$  (e.g., mean) can be computed from coefficients
    - **Correspondence via  $(\theta, \phi)$** , but empirically not always adequate

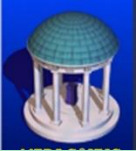
# How to get the point coordinates on the object onto the sphere



- Equal area mapping [Brechtbuehler]
  - Or alternative of conformal mapping, which is angle preserving
- North pole and Greenwich meridian via best fitting ellipsoid
  - Might need straightening as a preprocessing

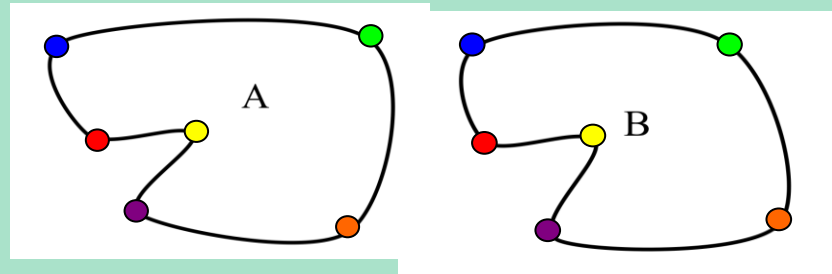


- Possible use of s-reps implied spacing

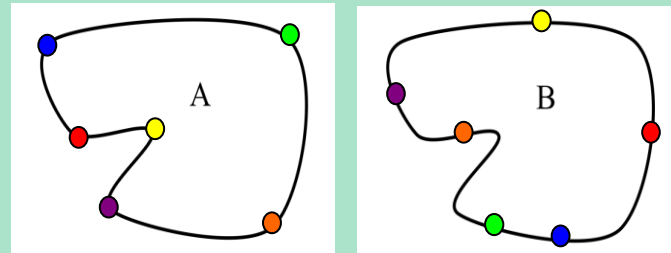


# Correspondence

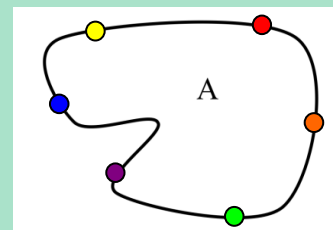
- Approaches
  - Via entropy: produce tightest ensemble  $p(\underline{x})$ 
    - Possibly also including  $C, S$  as features [Oguz]
  - Registration
    - Via landmarks
      - thin plate splines
      - diffeo guaranteeing methods
    - Via transformation from basic object reflecting richer geometry, such as skeletal



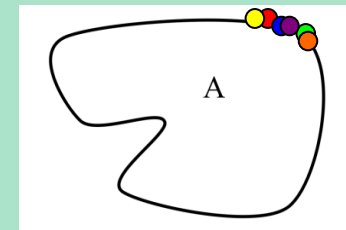
Tight distr'n  
(low ensemble entropy)



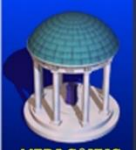
Non-tight distr'n  
(high ensemble entropy)



High surface entropy

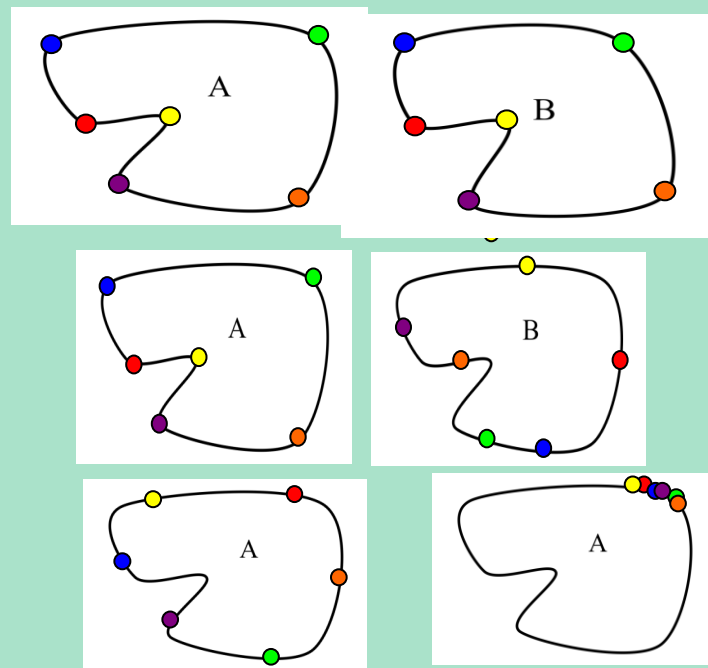


Low surface entropy  
(uniformity)

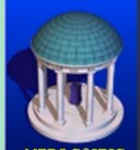


# Correspondence via Entropy of PDMs

- Shapeworks [Cates, Whitaker]
  - Ensemble entropy  $H(\text{ensemble})$  should be low ( $p(\underline{x})$  tight)
  - Entropy  $H(\text{point positions along boundary for each case})$  should be high (uniformly distributed)
  - So  $\min_{\underline{x}} [H_{\text{training cases}}(\text{geometry}) - \sum_{\text{training cases}} H(\text{points on training case})]$
  - Entropy via PCA:  $H(\text{nD Gaussian}) = (n/2) [1 + \ln(2\pi) + \text{avg} \ln \lambda]$
  - Optimize by successively doubling number of points
    - Slow and often finds local optimum



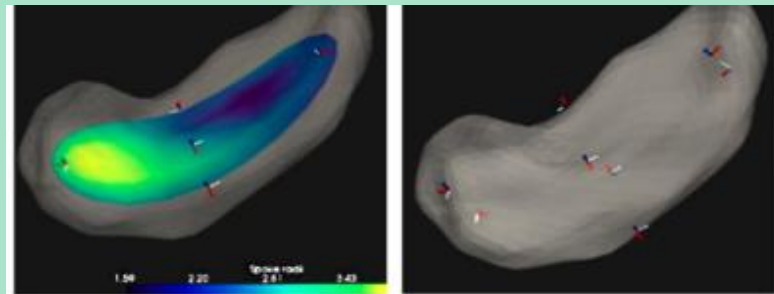
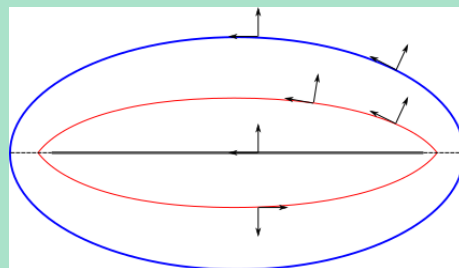
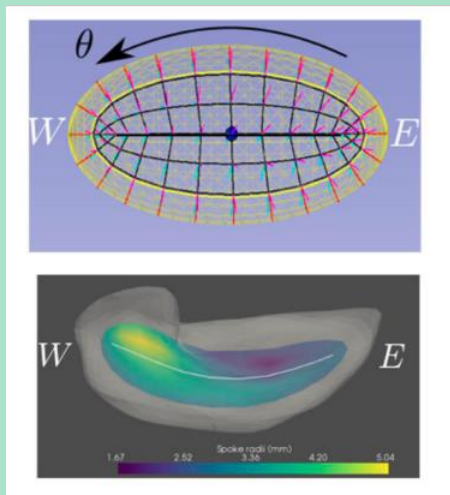
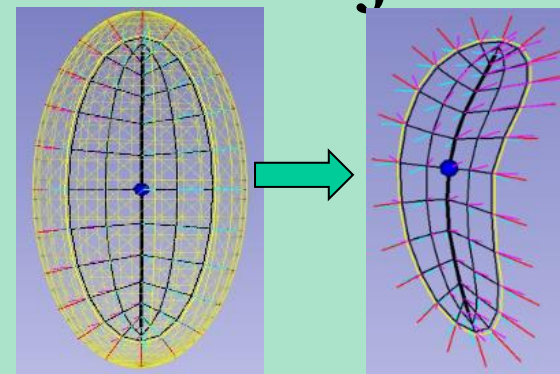




# Correspondence via

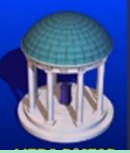
# Skeletal Mapping from Ellipsoid to Object

- Mapping via diffeomorphism such that
  - Vertices, crests map onto vertices
  - Crests map onto crests, crests
  - Straight spokes, radial distances map onto straight spokes, radial distances
- Defines a fitted frame at every sampled spoke point
  - i.e., on onion skins



[Pizer, *Skeletons, Object Shape Statistics*,  
Frontiers in Computer Science, 2023, on  
google drive for Pizer, Comp 790-6

# Srivastava boundary geometry modulo correspondence



- Want representation independent of boundary parameterization
  - So inter-object distances are between equivalence classes over alignment in  $R^n$  and reparameterization should be

$$- \|[q_1], [q_2]\| = \min_{O \in SO^n, \gamma \in \Gamma} d_c(q_1, O(q_2 \circ \gamma)) / \sqrt{\dot{\gamma}},$$

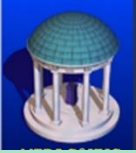
- $\|A, B\|$  = distance<sup>2</sup> between A and B
- $[q_i]$  = equivalence class of  $\Gamma$  = rep'ns  $q_i$  that are reparameterizations  $\gamma$  of boundary of object i
- $d_c$  is  $L^2$  norm on boundary representation (normal)
- $O$  is orbit over reparameterizations

$$- = \min_{O \in SO^n, \gamma \in \Gamma} d_c(q_1, O(q_2 \circ \gamma)) / \sqrt{\kappa(\gamma)}$$

# Kurtek 2D boundary geometry in 3D modulo correspondence, 3

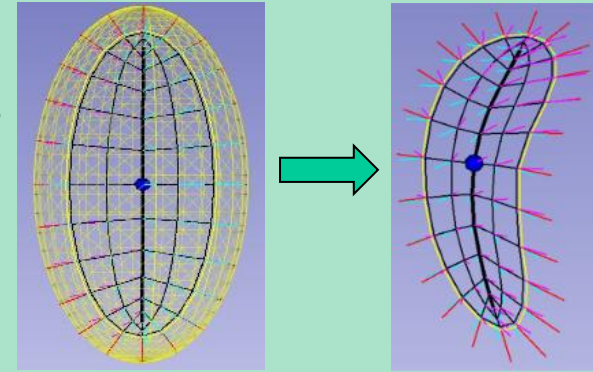


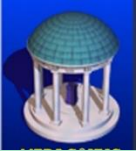
- Want representation independent of boundary parameterization
  - So inter-object distances are between equivalence classes over alignment in  $R^n$  and reparameterization
  - $d([q_1], [q_2]) = \min_{O \in SO(3), \gamma \in \Gamma} \| q_1 - O_\gamma q_2 \|$ 
    - $d(A, B)$  = distance between A and B
    - $[q_i]$  = equivalence class of  $\Gamma$  = rep'ns  $q_i$  that are reparameterizations  $\gamma$  of boundary of object i
    - $O_\gamma$  is orbit over reparameterizations
    - In  $q_1$  and  $q_2$  scaled versions of the objects are used
    - Minimization of the reparameterizations needs to use its Jacobian, which captures the geometry through its fitted frames



# Skeletal Representations

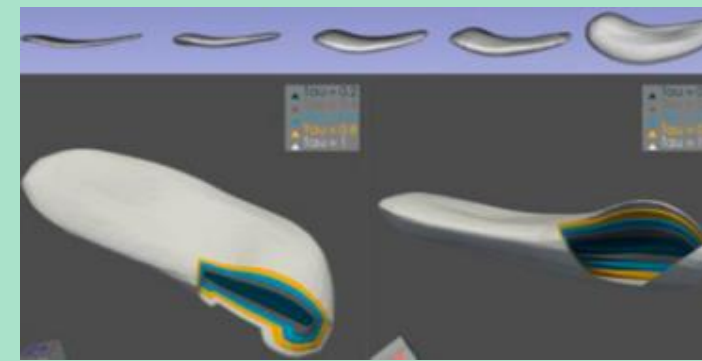
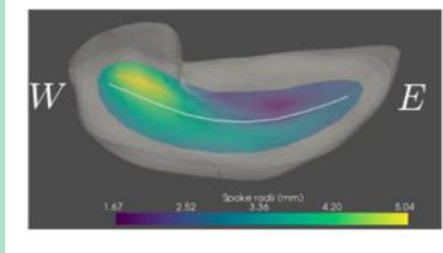
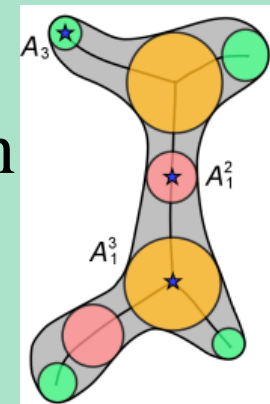
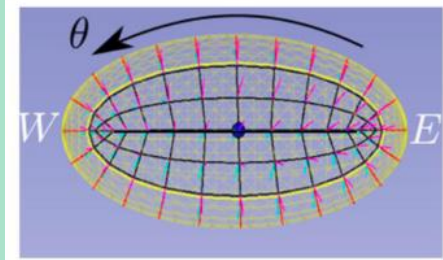
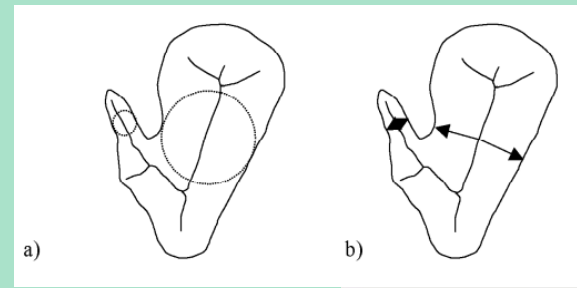
- Conceptually, skeletal representations have the following major advantages over other representations:
  - For correspondence reflect
    - Object width
    - Curvature and direction of the object *interior*
  - Ideally, capture division of object into a tree of protrusions and indentations
  - Separate width and bending features

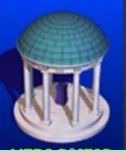




# Medial and Skeletal Mathematics

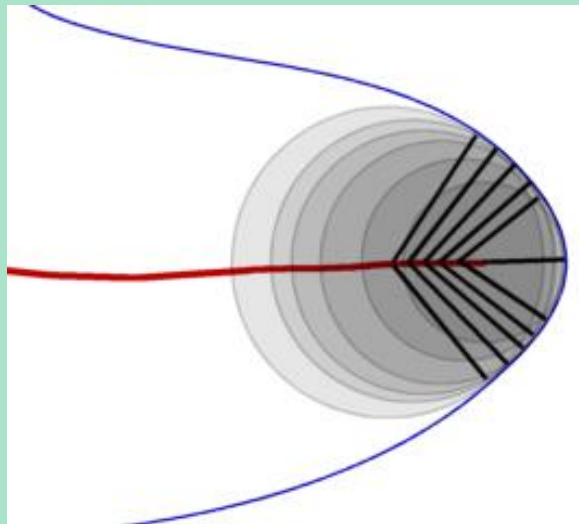
- Blum Medial representation
  - Skeleton (medial locus)  $\underline{x}(u,v)$ , spoke length  $r(u,v)$ 
    - Bitangent spheres entirely in object interior with centers  $\underline{x}$ , radii  $r$
    - Implies spoke  $r\mathbf{U}$ , orthogonal to boundary where  $\mathbf{U}$  gives spoke direction
      - Computed by grassfire
- Folded skeleton:  $(u,v)$  spherical
- Interior and boundary positions are parameterized by figural coordinates  $(u,v,\tau_2)$ , with  $\tau_2 =$  (radial distance) fraction of spoke from  $\underline{x}(u,v)$ 
  - $\underline{b}_{\tau_2} = \psi(\underline{x},\tau_2) = \underline{x} + \tau_2 r\mathbf{U}$
- Branch  $(A_1^3)$  and endpoints  $(A_3)$



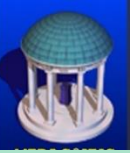


# Blum Ends (Folds) in 2D

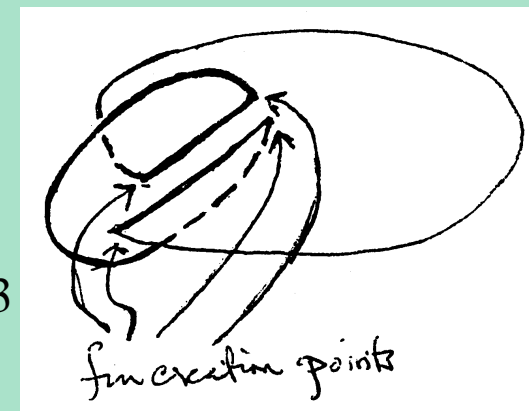
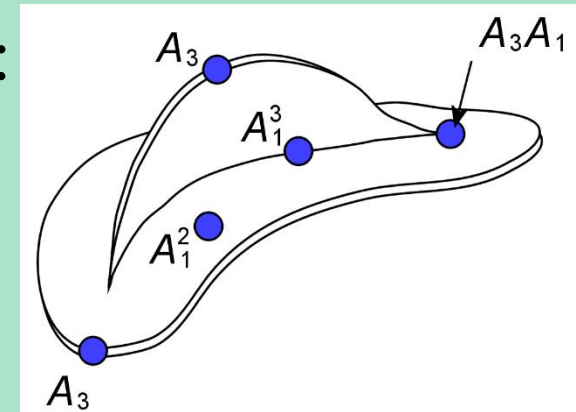
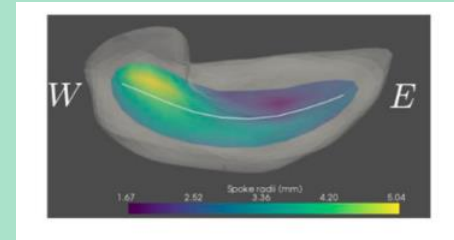
- Fold (end) atom
  - Zero object angle
  - Multiplicity 3 tangency, i.e., osculation
  - $\theta=0$ , so  $dr/dx = -\cos(0) = -1$
  - **Infinitely fast spoke swing in limit**

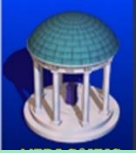


# Medial Mathematics in 3D



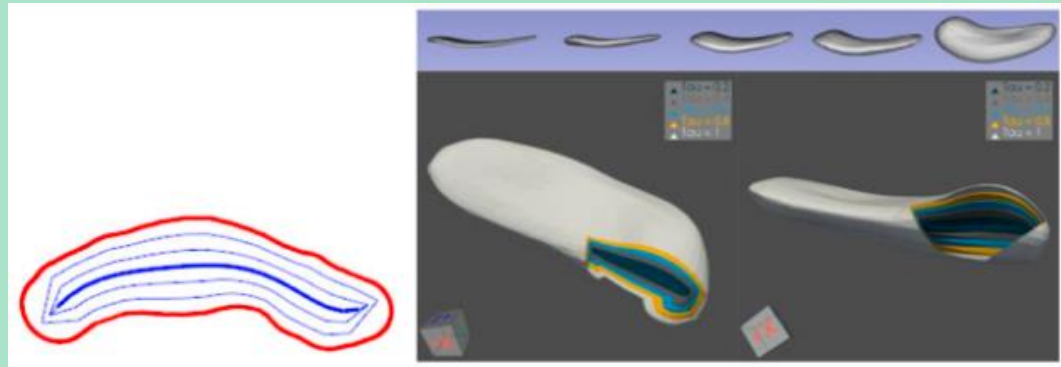
- Medial representation singularities
  - Normal point (no singularity; 2D or 3D):  $A_1^2$  (bitangent)
  - Point on branch curve (point for 2D):  $A_1^3$  (tritangent)
  - Point on fold curve (point for 2D):  $A_3$  (tangent of order 3 at 1 point)
    - Surprisingly 3<sup>rd</sup> order touching at crest
  - 4 point contact not generic in 2D but is generic in 3D:  $A_1^4$
  - Ends of branch curves in 3D mix normal point and fold of branch :  $A_1A_3$





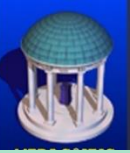
# Radial Shape Operator [Damon]

- $S_{\text{rad}} = 2 \times 2$  matrix of negative of orthogonal  $(\mathbf{e}_u, \mathbf{e}_v)$  coefficients for walking directions
  - $S_{\text{rad}} \underline{w}$  analyzes swing of spoke direction  $\mathbf{U}$  for any walking direction  $\underline{w}$  on the skeletal tangent plane
- Radial curvatures  $\kappa_r$  are eigenvalues of  $S_{\text{rad}}$ 
  - $r < 1/\kappa_{r_i}$  for all positive radial curvatures and all skeletal points to prevent spoke crossing in closed object interior
  - Boundary curvatures:  $\kappa = \kappa_r / (1 - \kappa_r)$
  - Similar formula for onion skins (which have same skeleton)

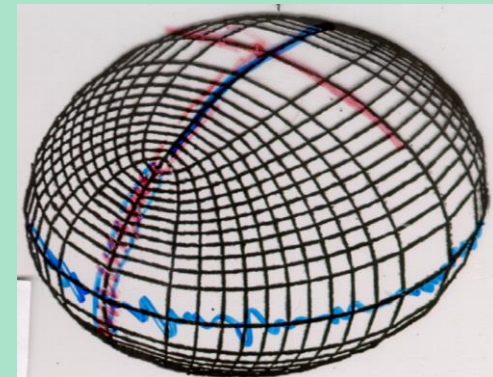
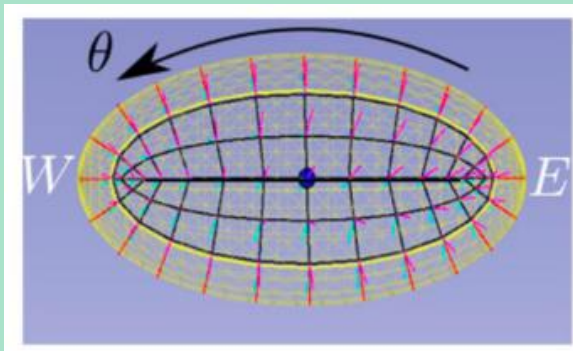


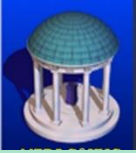


# Among Objects with with Spherical Topology, The Ellipsoid: The Primordial Shape



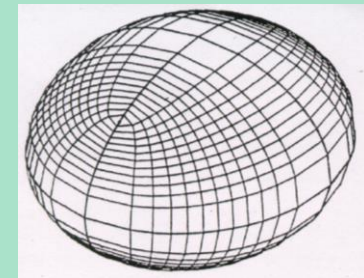
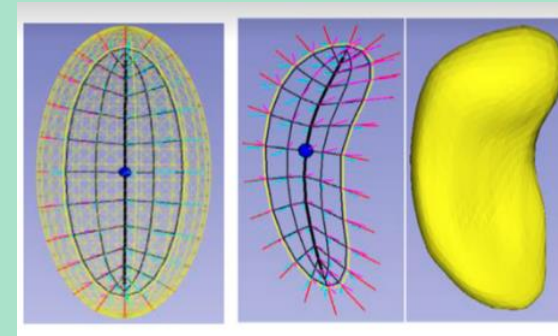
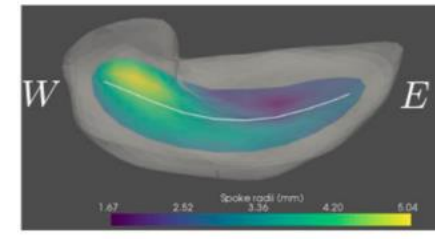
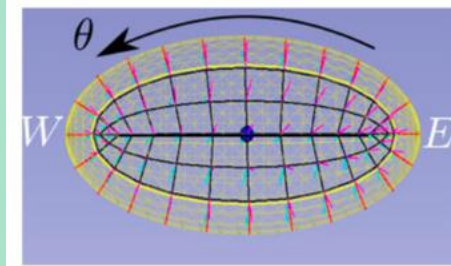
- Ellipsoid with principal radii  $r_x > r_y > r_z$  is simplest shape with a skeleton in the form of a folded surface
  - Blum skeleton is ellipse in (x,y) plane with principal radii:  
 $(r_x^2 + r_z^2)/r_x$  in x direction ;  
 $(r_y^2 + r_z^2)/r_y$  in y direction
  - **Crest of boundary**
    - **Medial spokes are from fold**
    - Relative max of  $\kappa_1$  in  $\mathbf{p}_1$  direction
    - On ellipsoid is an ellipse in the (x,y) plane
      - It has two opposing vertices

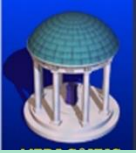




# Objects with Spherical Topology and No Protrusions or Indentations

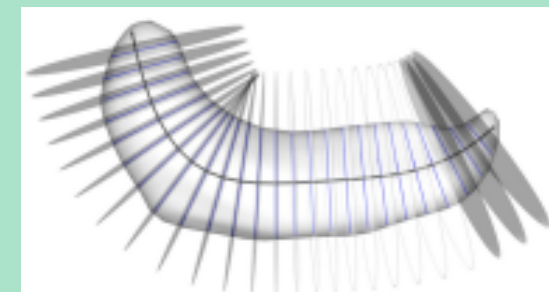
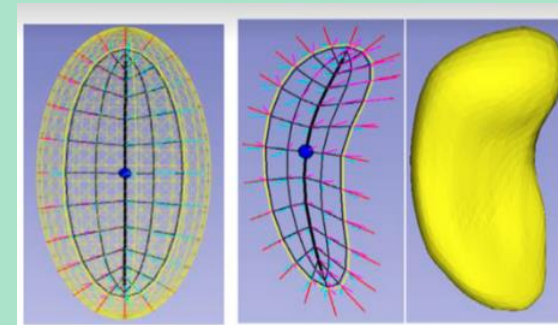
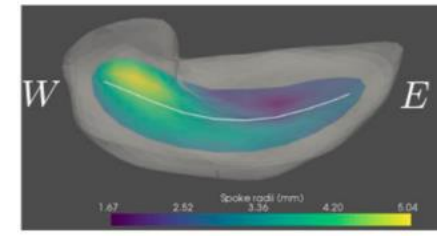
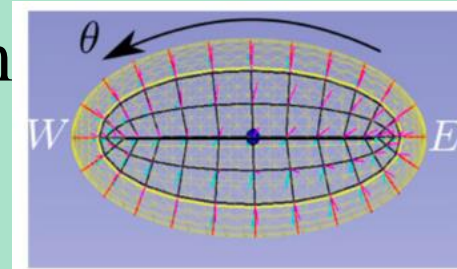
- Can be understood as diffeomorphism of the ellipsoid
  - It will have at least two opposing vertices and at least one closed crest
- Want to carry all the basic skeletal geometry into the object throughout the diffeomorphism
- **Designed to yield a strong correspondence in and near objects' interiors across objects in a population via  $(\theta, \tau_1, \tau_2)$**

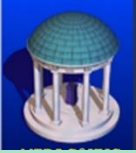




# Single Figure Objects with Spherical Topology via Diffeomorphism of Ellipsoid

- For correspondence, want the diffeomorphism to carry the basic skeletal geometry of the ellipsoid into the target object
- Because the skeleton is designed to carry the curvature of the interior of the object, it appears not possible for the spokes across the skeleton (with their radial distance  $\tau_1$ ), which are straight in the ellipsoid's skeleton to remain straight in target object skeleton.
  - But in Taheri's swept plane skeleton coplanar spokes from skeleton with common spine point



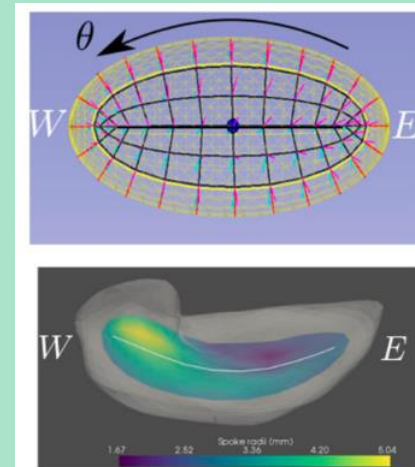


# Single Figure Objects with Spherical Topology via Diffeomorphism of Ellipsoid, 2

- Computation of diffeomorphism from ellipsoid to object can be initialized with curvature-smoothing flow of target object boundary, which will approach ellipsoid



- Before it approaches its limiting sphere
- By **conformalized mean curvature flow** [Kazhdan]
  - But produces poor correspondence for skeletal geometry maintenance
- Will collapse protrusions and indentations early (see subfigure discussion)
- Its inverse, the desired diffeomorphism, needs to be modified to maintain the basic ellipsoidal skeletal geometry for the object



# Conformalized Mean Curvature Flow of an Object Boundary



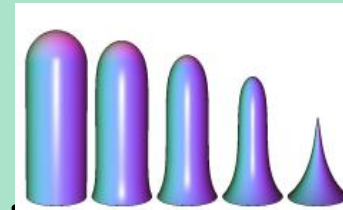
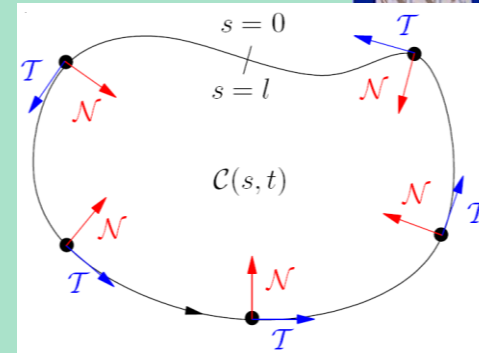
- Original idea was mean curvature flow:

- $d\mathbf{b}/dt = H(\mathbf{b}, t) \mathbf{N}(\mathbf{b}, t)$

- $t$  is time of flow

- Though it does deform boundary into a near ellipsoid, it collapses regions of high curvature into a point,

- i.e., has singularities



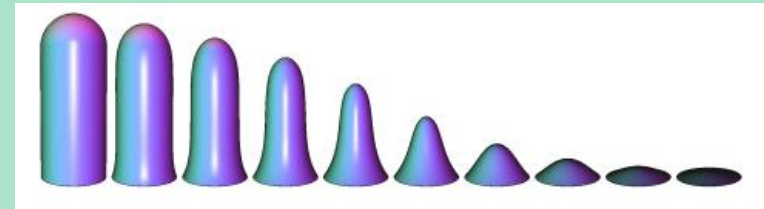
- Improved method does not have singularities: conformalized mean curvature flow

[Kazhdan]

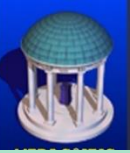
- Changes the metric for the flow:

- Metric is in principal coordinates
    - Metric changes with deformation time  $t$

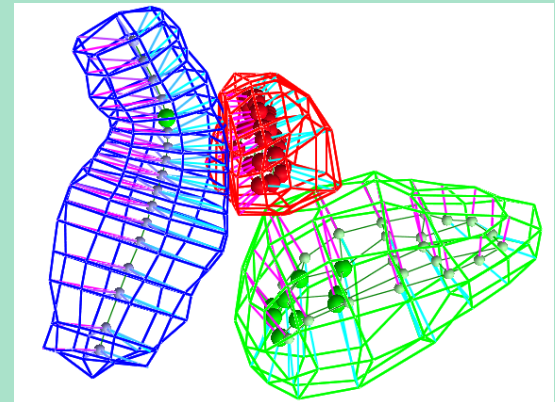
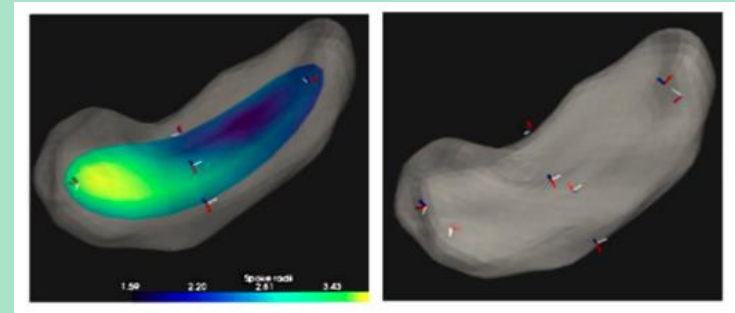
$$\tilde{g}_t = \sqrt{|g_0^{-1} g_t|} g_0.$$



# Fitted Frames for Single-Figure Objects



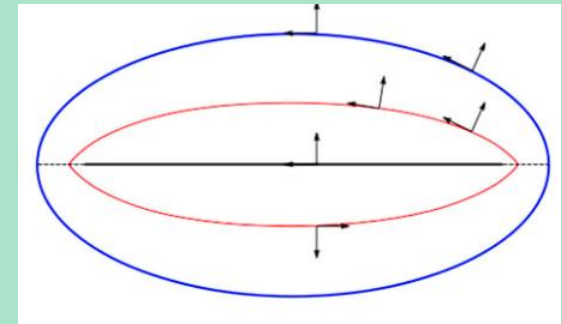
- Objective
  - Like fitted frames for boundary (Cartan), carry local geometry,
    - **But here of the closure of interior, not just the boundary**
    - Like boundary fitted frames, capture local curvatures,
  - Provides a coordinate system for inter-point geometry
    - Rotations: curvature
    - Inter-point vectors
  - Do it with good correspondence across the object population
- Avoids need for alignment
  - No dependence on alignment scale



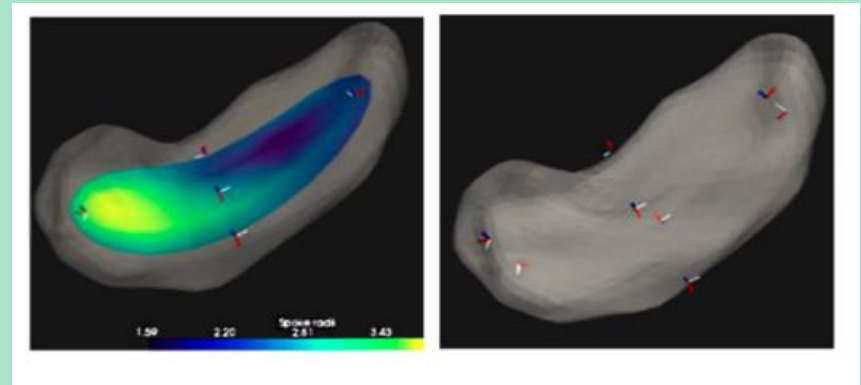
# Fitted Frames for Ellipsoid, 3



- On onion skins
  - Thus on skeleton ( $\tau_2=0$ )
    - Respecting side of fold, dependent on  $\theta$
    - And thus on spine ( $\tau_1 = \tau_2=0$ )
  - Thus on boundary ( $\tau_2=1$ )
  - In 2D normal and tangent to onion skin form frame
  - In 3D

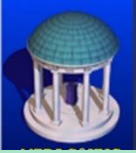


- Third frame vector  $\mathbf{f}^3$  is normal to onion skin
- Second frame vector  $\mathbf{f}^2$  is along fixed  $\tau_1$  as  $\theta$  varies
- $\mathbf{f}^1 = \mathbf{f}^2 \times \mathbf{f}^3$

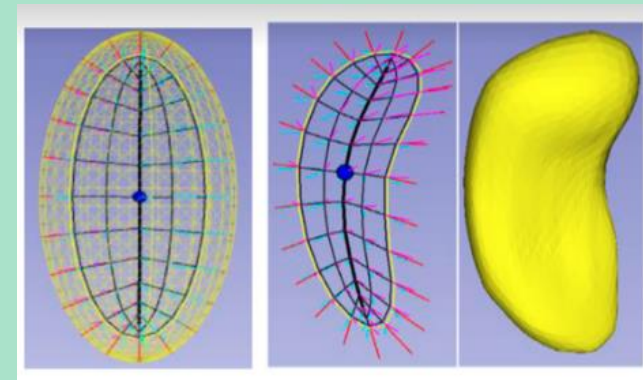
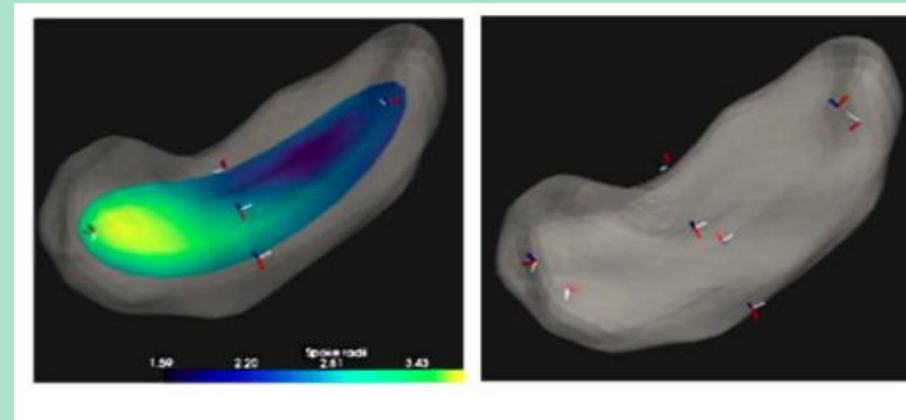
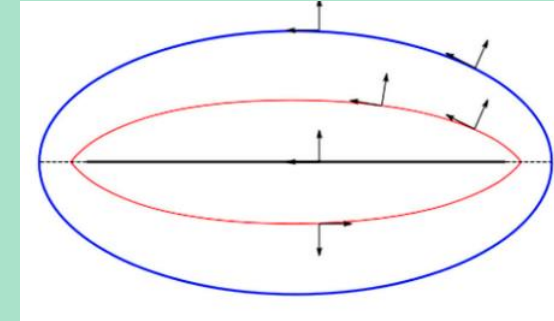


- Allows spoke interpolation
  - Rotations of frame
  - r interpolation recognizing dr properties

# Fitted Frames for 3D, Single Figure Object of Spherical Topology



- With proper diffeomorphism, same definition as from ellipsoid
  - In 3D
    - Third frame vector  $\mathbf{f}^3$  is normal to onion skin
    - Second frame vector  $\mathbf{f}^2$  is along fixed  $\tau_1$  as  $\theta$  varies
    - $\mathbf{f}^1 = \mathbf{f}^2 \times \mathbf{f}^3$
- Approximation by carrying  $\mathbf{f}^1$  and  $\mathbf{f}^2$  by diffeomorphism from ellipsoid

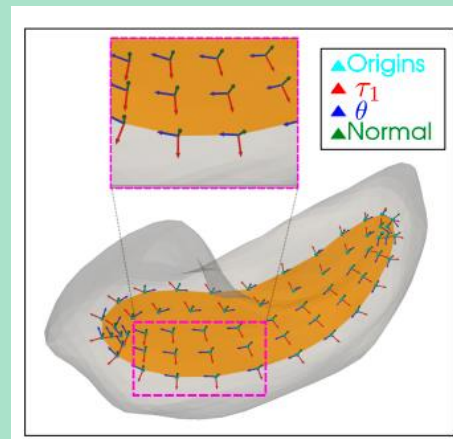
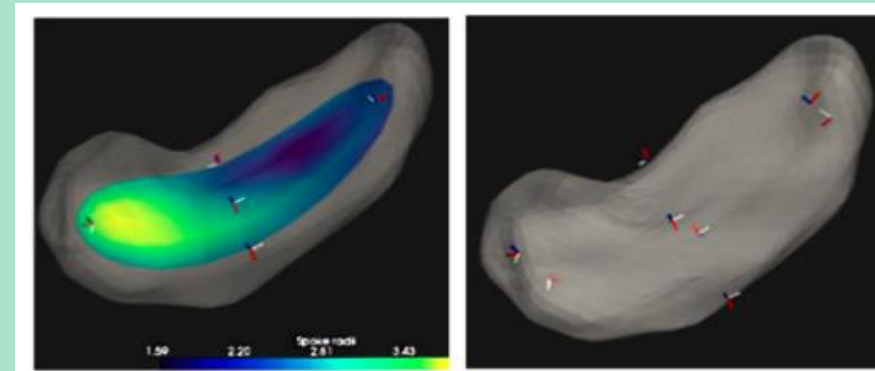
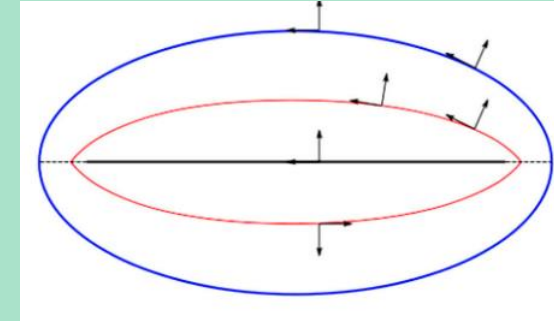






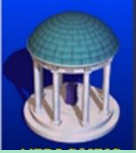
# Affine Fitted Frames for 3D Single Figure Object of Spherical Topology

- Carry  $\mathbf{f}^1$ ,  $\mathbf{f}^2$ , and  $\mathbf{f}^3$  by diffeomorphism from ellipsoid
  - Will no longer have unit lengths
    - Lengths form features
  - Will no longer be mutually orthogonal
    - Angles form features

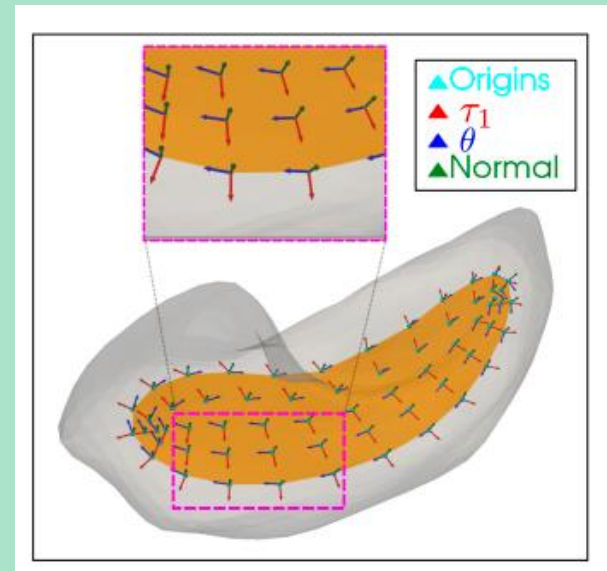
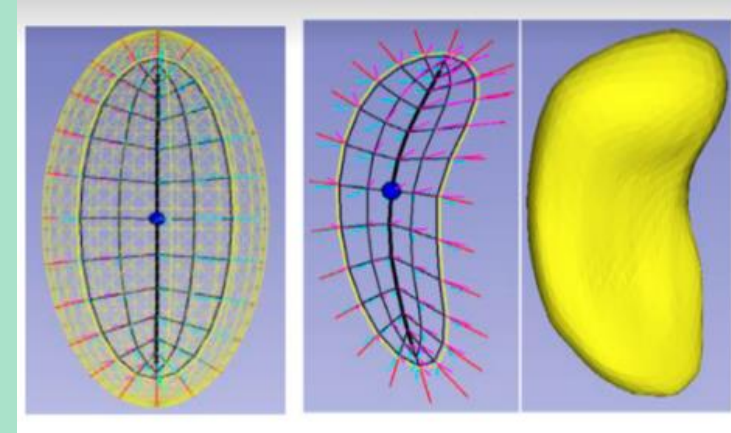


Affine fitted frames to a hippocampus skeleton [Z Liu]

# Skeletal Features for Single Figure Object

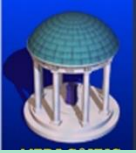


- Skeletal positions
  - Ideally relative to
    - Center point frame, or
    - Neighbor skeletal position frame
- Spoke lengths
- Affine frame lengths
- Directions
  - Frame vector directions
  - Affine frame directions
  - Ideally, all relative to local frame
- For statistics, spoke directions and frame rotations are Euclideanized using PNS



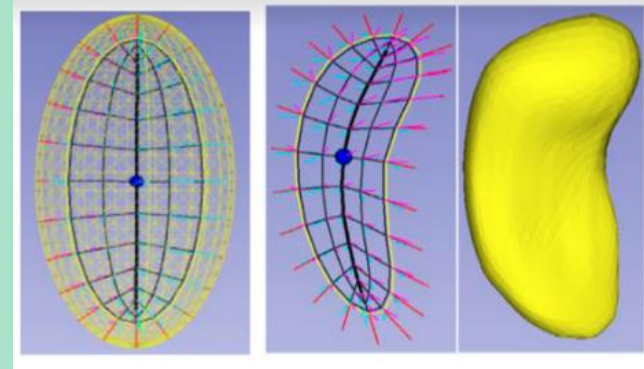
Affine fitted frames to a hippocampus skeleton [Z Liu]

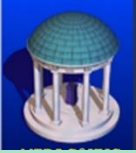
# Summary of Production of Skeletal



## Features with Correspondence

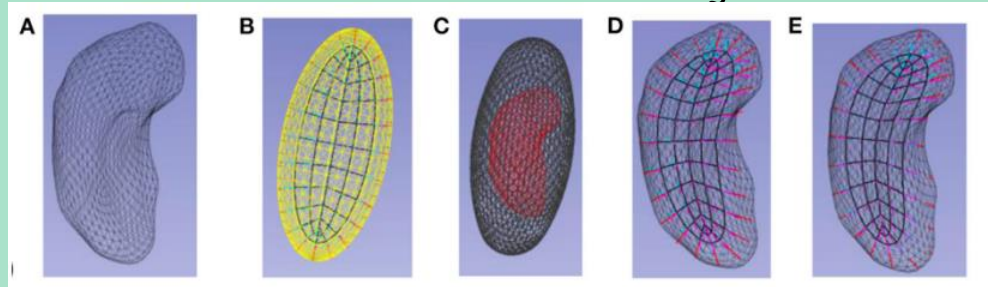
- Let rep'n of each training sample come from diffeomorphism of the same ellipsoid, recognizing
  - Vertices and crests
  - Boundaries, using CMC flow
  - Spoke loci and radial lengths
    - From skeleton to boundary
    - From spine to skeletal fold
- And producing correspondence via skeletal coordinates  $(\theta, \tau_1, \tau_2)$ 
  - Achieved by fitted frames via onion skins
    - With directions and positions measured via local frames
- Avoids alignment by use of frames fitted to onion skins





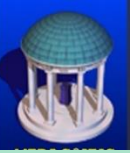
# Fitting an S-rep to a Boundary Mesh

- Fitting rather than generated from boundary to fix branching topology
- Fitting to boundaries
  - Optimization [Z Liu]

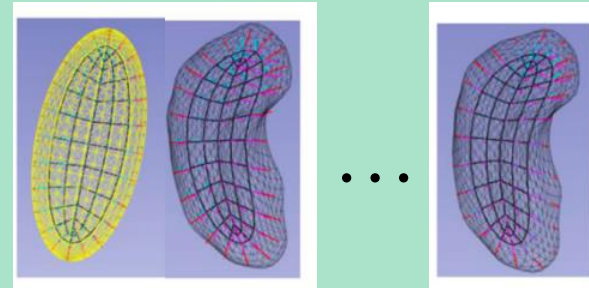


- Stage 1: approximate diffeomorphism to yield correspondence
- Stage 2: Refinement optimization: Penalties:
  - 1) foremost, a term heavily penalizing crossing of the spokes, via  $\kappa_{r_i}$ 
    - » Could be a hard constraint
  - 2) the deviation of the implied boundary from the target object boundary;
  - 3) the deviation of the angle of the spokes from the corresponding boundary normal
    - Could use the difference in corresponding spoke lengths
- Code at [slicersalt.org](http://slicersalt.org)
  - Alternatives on next slides

# Fitting an S-rep to a Boundary Mesh, 3



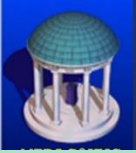
- By temporal stages producing reverse diffeomorphisms to yield stage to stage small deformations



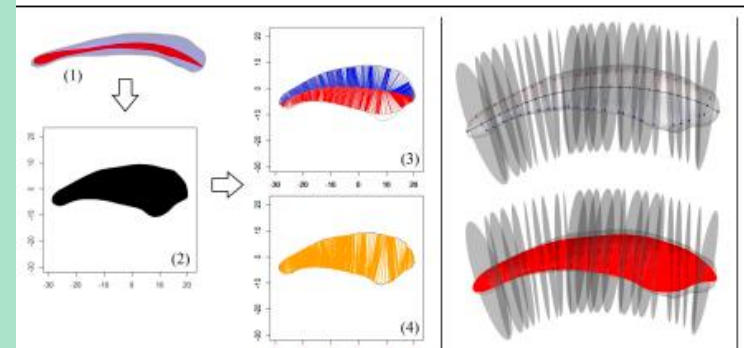
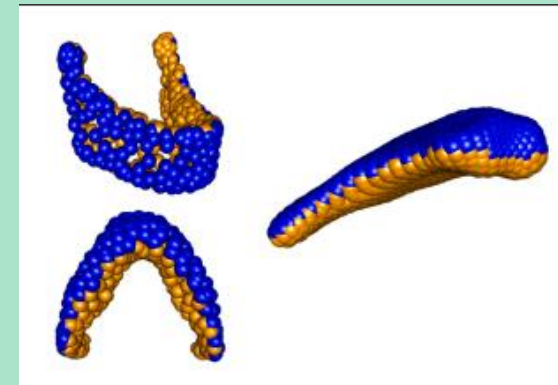
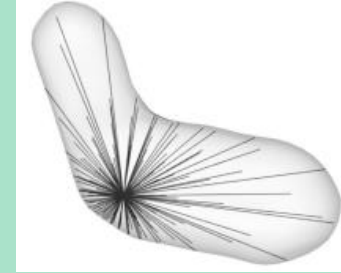
– Each of these is an optimization: Penalties:

- 1) foremost, a term heavily penalizing crossing of the spokes, via  $\kappa_{r_i}$ 
    - Could be a hard constraint
  - 2) the deviation of the implied boundaries between stages
  - 3) the deviation of the angle of the spokes from the corresponding boundary normal
  - 4) the deviation of the implied crest to the later stage crest
    - Also for vertices
  - 5) the difference in corresponding spoke lengths
- In late stages of development [Tapp-Hughes]

# Taheri Computing a Plane-Sweep S-rep from a Boundary Mesh



- Find shortest spokes to boundary from each interior point
  - Find pairs with largest angles
- Classify paired spokes into top and bottom
  - Straighten and fit planes
- Compute spine
  - Requiring relative curvature criterion
- Optimize volume coverage, skeletal symmetry, and lowered curvature



# Cm-reps via PDE [Yushkevich]



Biharmonic (Laplace-Beltrami)<sup>2</sup>  
operator offers an elegant solution

Solve:

$$\nabla_{\mathbf{x}}^4 R^2 = \rho$$

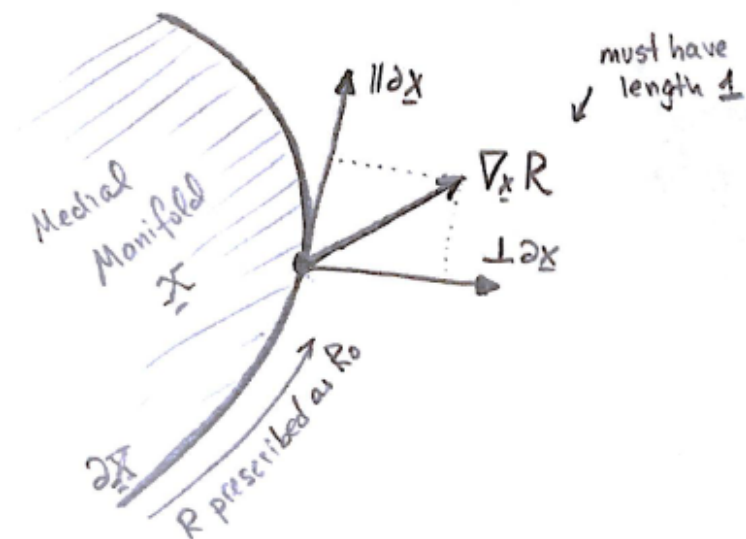
subject to:

$$\begin{cases} R = R_0 \\ D_{\perp \partial \mathbf{x}} R = \sqrt{1 - (D_{\parallel \partial \mathbf{x}} R_0)^2} \end{cases} \quad \text{on } \partial \mathbf{x}$$

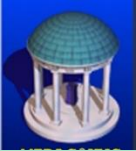
Laplace-Beltrami Operator (LBO)

$$\nabla_{\mathbf{x}}^2 f = \text{div}_{\mathbf{x}} \nabla_{\mathbf{x}} f = \frac{1}{\sqrt{g}} \frac{\partial}{\partial u^n} \left( \sqrt{g} g^{mn} \frac{\partial f}{\partial u^n} \right)$$

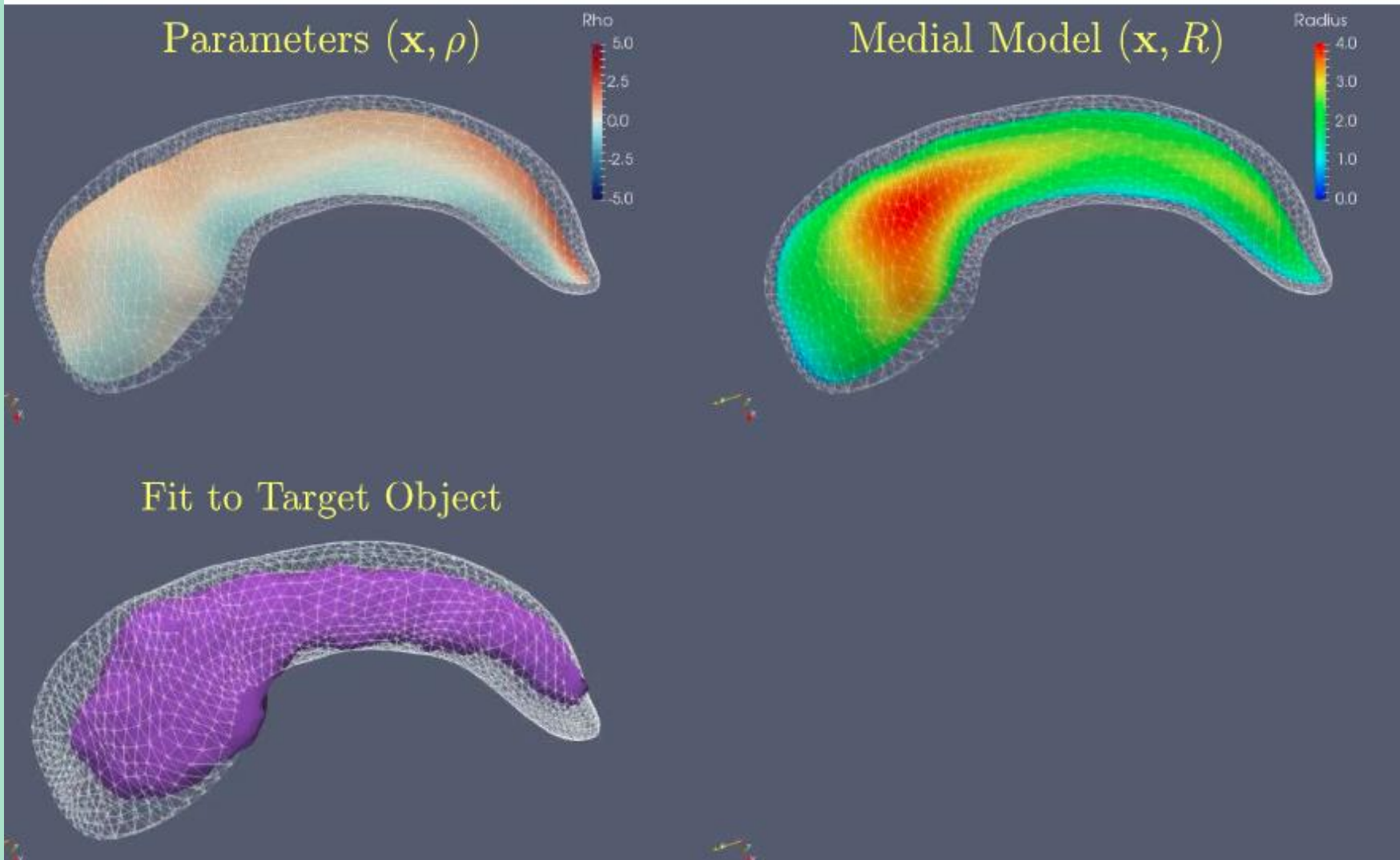
- The 4<sup>th</sup> order PDE admits two boundary conditions
  - Dirichlet and von Neumann
- The non-linear constraint can be made linear w.r.t. the unknown function  $R$  by introducing new parameter function  $R_0$



# Cm-reps via PDE [Yushkevich], 2

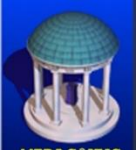


Example of medial model fitting (hippocampus)



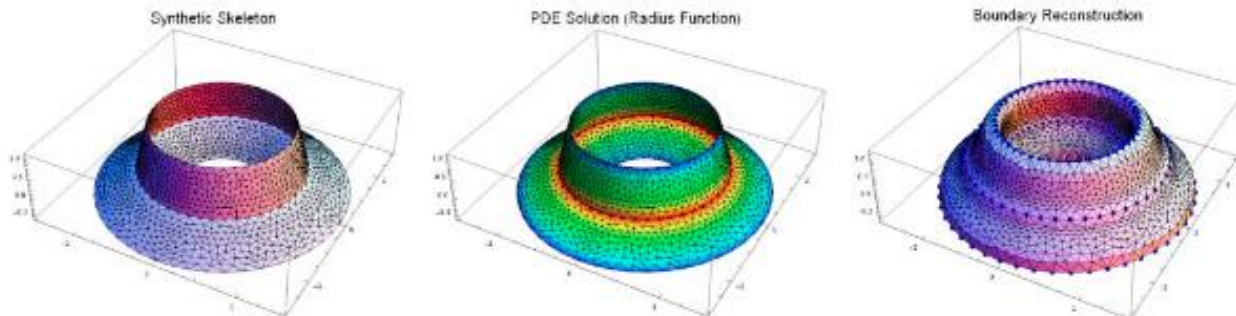


# Cm-reps via PDE [Yushkevich], 3

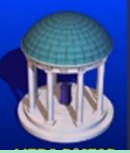


## Limitations of the PDE-based approach

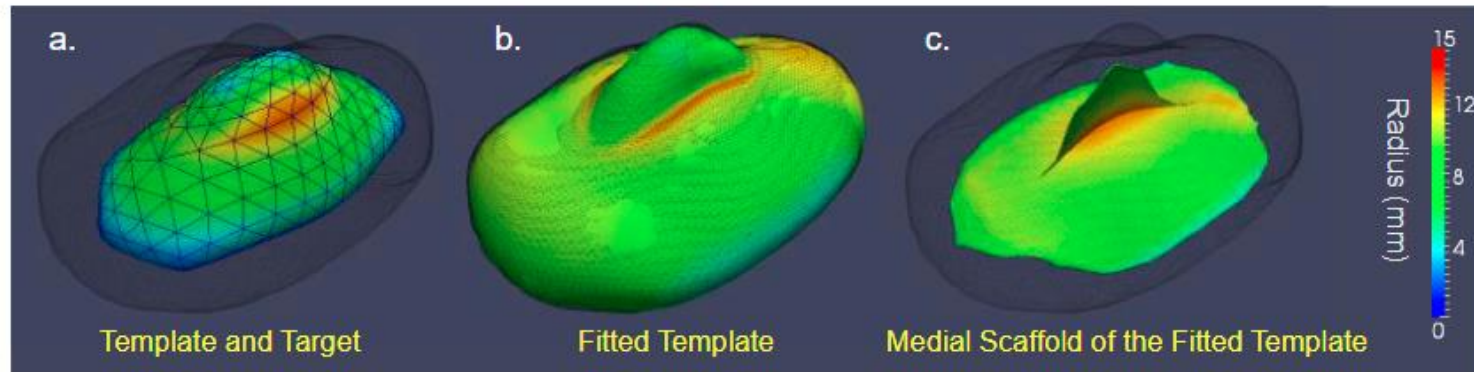
- Computationally expensive
  - Must solve a PDE (large sparse linear system) every iteration
  - $R, \mathbf{y}$  depend on  $\rho$  globally (lots of derivatives to compute)
- Does not handle tubular structures in 3D
- Limited to simple branching configurations
  - How to handle seam-edge and seam-seam intersections?



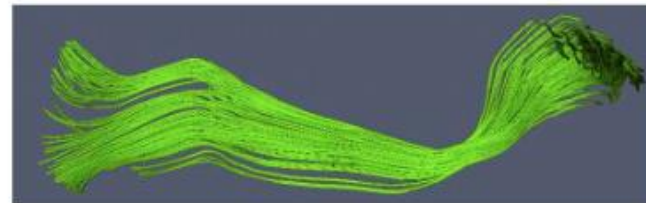
# Cm-reps via Medial Linkage Preservation [Yushkevich]



The boundary-first approach, for the first time, allows deformable medial modeling of objects with **branching** Blum medial axis

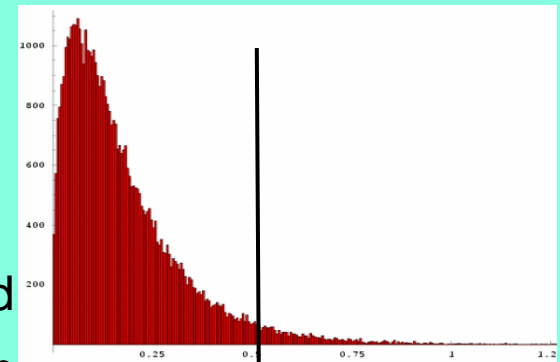
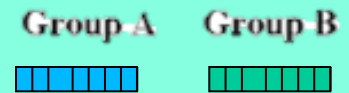


It also has the potential to model tubular and part-sheet/part-tube objects



# Univariate Hypothesis Testing on a Curved Surface

- Test is by **DOUBLE NEGATIVE**:  
*Reject (null) hypothesis that the two classes are not different*
  - I.e., reject hypothesis that two classes are the same, i.e., observed differences come from random sampling
- Typically tests on magnitude of differences between class means:  $m_A$  and  $m_B$ 
  - $T_0 = d(\mu_A, \mu_B) / (s_A^2/n_A + s_B^2/n_B)^{1/2}$
- Create distribution of T under null hypothesis empirically
  - Under null hypothesis groups are not different, any permutation produces an equivalent T (normalized)
  - Over all permutations produces empirical T distribution
  - With that distribution see percentile of  $T_0$  in that distribution: p-value =  
 $\#Perms\ larger / \#Perms\ total$



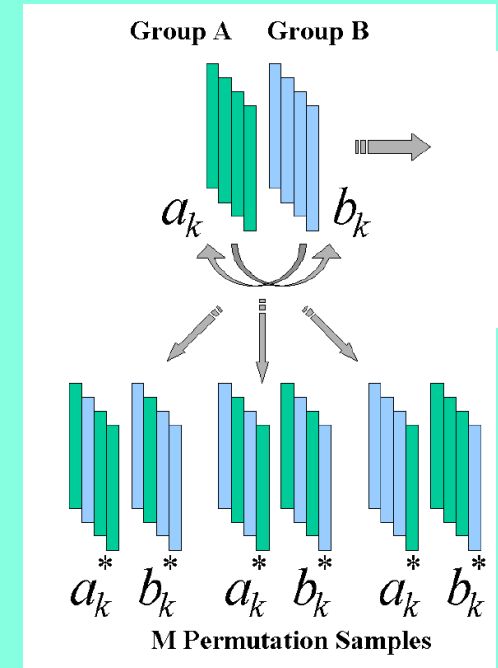
# Hypothesis Testing of Shape with Locality

- **Goal:** given training data sets of objects  $\underline{z}^k$  in two classes, determine whether there are significant differences between the classes and if so, where
  - Where (locality): positions or other parameters
  - Training data:  $\{\underline{z}^k \mid 1 \leq k \leq n_A; n_A+1 \leq k \leq n_A + n_B\}$
- **Method:** Hypothesis test with initial Geometric Object Property(ies) (GOPs) at each location
  - A GOP may be a tuple, e.g., object normal direction
  - With corrections for multiple comparisons, which will lead to a different threshold for each location  $\times$  GOP
    - Commensuration by turning T values into p values
    - Making p values for each feature std Gaussian
    - Then **decorrelation** via PCA

# Permutation Tests on $>1$ Variable

## $\mu$ -diff fixup

- Commensurate by transforming each mean difference into a probability via its histogram
- Make distributions same and joint distribution Gaussian by turning each distribution into a standard Gaussian
  - Two cumulative histogram transformations: quantiles have uniform probability
    - Cumulative dist. of v'ble  $\rightarrow$  uniform
    - Quantile f'n of normal  $\rightarrow$  st'd Gaussian
- What's left is handling covariance of transformed variables



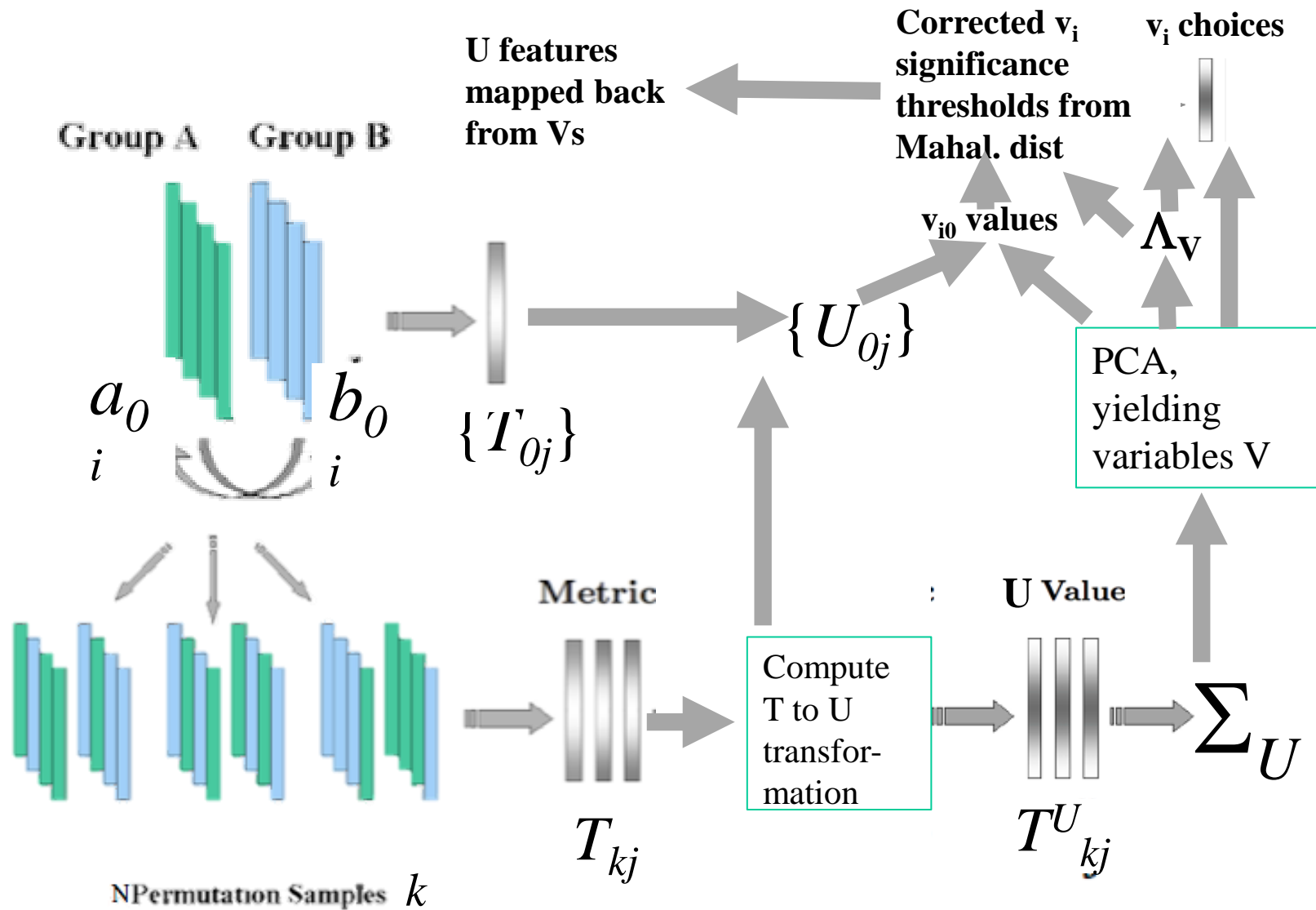
# Decorrelating the Standard Normal Variables

- Note that all hyperplanar (including dimension 1) cross-sections through the mean of a Gaussian are Gaussian
  - Also, the principal dimension-1 cross-sections yield uncorrelated variables
- $\Sigma_U$  is made up of correlated standard 1-dimensional Gaussians
  - So the cross-sections are not principal (nor orthogonal)
- Use PCA on  $\Sigma_U$  to produce new, uncorrelated variables formed as the eigenvector directions
  - Multiple test correction assuming non-correlation is applicable
  - For shapes (U formed from GOPs) there will be few such variables (ones with low eigenvalues can be cut out)

# P-value correction via FDR or FWER

- False Discovery Rate (FDR)
  - More relaxed assumptions
    - More power than Bonferroni, higher specificity than uncorrected
  - Used in fMRI, VBM and Deformation field analysis
- FDR: Proportion of false positive tests among those test for which  $H_0$  is rejected
  - Bounds expected rate among those tests that show significance only.
- FWER correction: Rate of false positives among all tests, whether or not  $H_0$  is rejected
  1. Controlling False Discovery Rate: A practical and powerful approach to multiple testing, Y Benjamini, Y Hochberg, J.R. Stat Soc Ser B 57 1995
  2. Thresholding of Statistical Maps in Functional Neuroimaging Using the False Discovery Rate, CR Genovese, NA Lazar, T Nichols, NeuroImage 15 2002

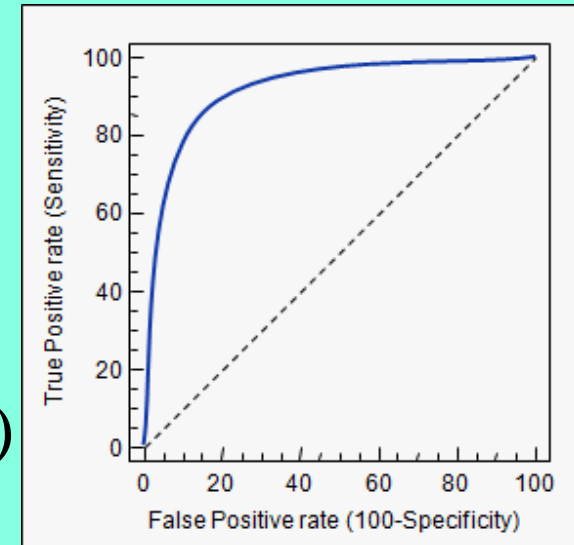
# Corrected Multivariate Hypothesis Testing: by Permutation Tests [Pizer]

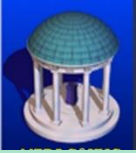




# ROC Analysis

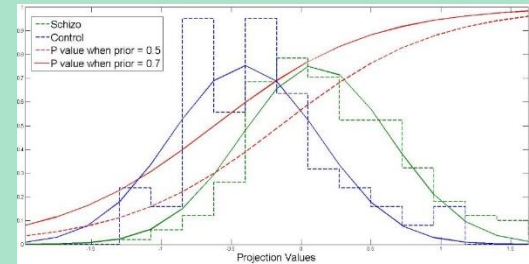
- An important means of evaluating any medical procedure involving a detection of a signal
  - Signal can be classification of voxel as the object to be segmented
- True positive rate (*sensitivity*) plotted against false positive rate (*1-specificity*)
  - TPR:  $P(\text{correct decision} \mid \text{signal present})$
  - FPR:  $P(\text{wrong decision} \mid \text{signal absent})$ ; a measure of conservatism
  - Common measure: area under ROC (AUC)
    - Equivalent to 2-alternative forced-choice fraction correct



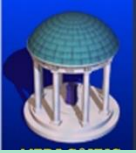


# AUC by Random Holdouts

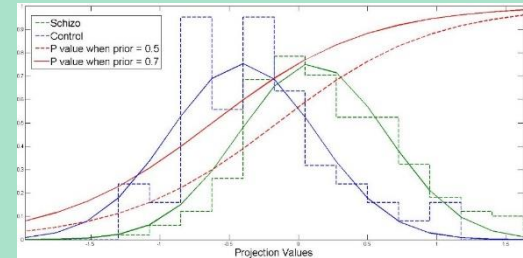
- Assume data has  $N_i$  in class  $i$
- Pick a subdivision fraction:  
 $f = \# \text{ training cases} / N = \# \text{ all cases}$ , e.g., 80%
  - # test cases is complement
  - In each holdout # of test cases is  $(1-f) N_1$  in class 1 and  $(1-f) N_2$  in class 2, with the cases chosen at random
- Run the experiment many times
  - Each test case yields a  $d$  value, leading to a class choice, given a threshold
  - Yield TPR and FPR for various thresholds
- Two options for combining trials
  - Average AUCs per random set
  - Combine FPR,TPR data over sets, to yield a single AUC



# Combining random holdouts results into an AUC



- Each test case yields a d value along separation direction
- But d values are not commensurate across random holdouts
  - They have different separation directions
- So turn d values into p values via Bayesian analysis
  - Then the set of p values for each class can be coalesced to produce 2 histograms, which can yield an ROC and thus an AUC



# Going from histograms on $d$ to $P(\text{schizo} | D)$ function

- Bayesian formulation

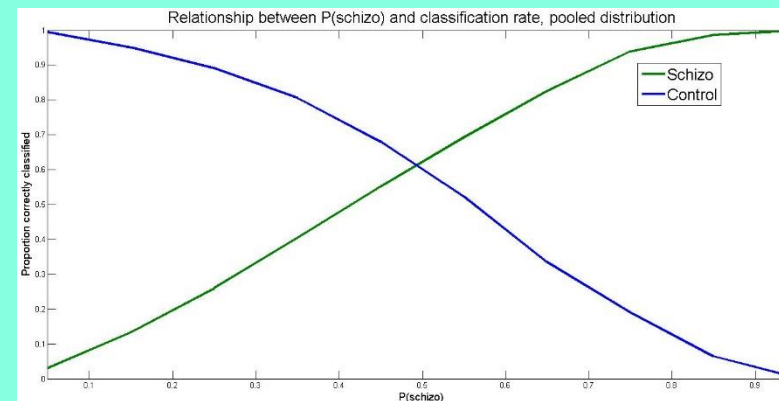
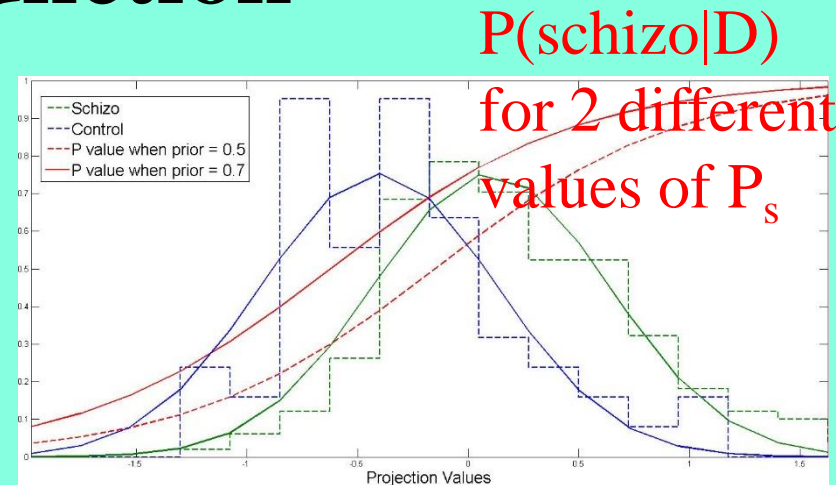
- Two histograms are Gaussians with common variance from histo's  $P(d | \text{schizo})$  and  $P(d | \text{control})$

- $$P(\text{schizo} | d) =$$

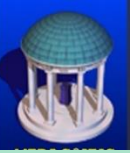
$$\frac{p_s p(d|\text{schizo})}{p_s p(d|\text{schizo}) + (1 - p_s) p(d|\text{control})}$$

- There is a parameter,  $P_s$ , the prior probability of being schizo

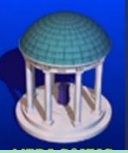
- Each value of  $P_s$  yields a different  $P(\text{schizo} | d)$  function
- Applied to test data, each value of  $P_s$  yields a different true positive rate and true negative rate
- These rate curves yield an ROC



# Longitudinal Analysis of Shape

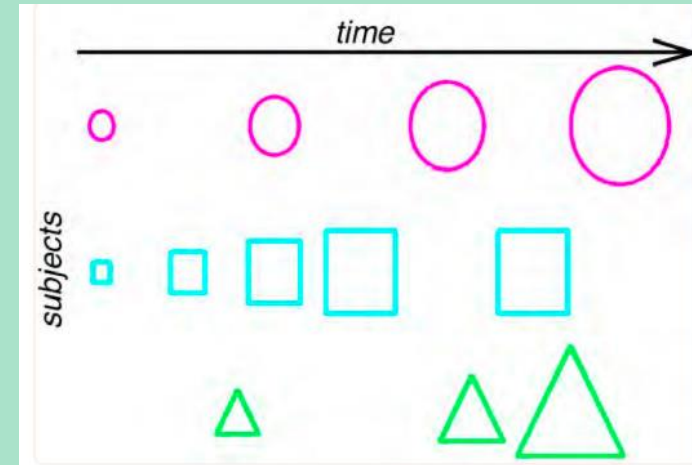


- How does shape change over time?
- Longitudinal data set:
  - Set of homologous objects (e.g., anatomical structures), each object being observed repeatedly at several time points; for now non-cyclic, e.g., aging
  - Shape varies across individuals
  - For each individual shape temporal paths differ
    - Temporal sampling may differ among individuals
    - Initial times and rates of changes of shape longitudinal changes may differ among individuals
- Two approaches
  - Use object features  $\underline{z}$  on curved manifold and study  $\underline{z}(t)$ 
    - See Fletcher lectures in this course and his papers
    - Schiratti, ..., Durrleman, for mixed effects models on manifolds:  
“Learning spatiotemporal trajectories from manifold-valued long’l data”
  - Study deformations in time:  $\phi(\underline{x}, t)$ . See upcoming slides



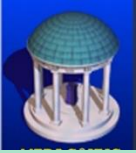
# Longitudinal Analysis of Shape via Space Deformations

- Data



- Issues

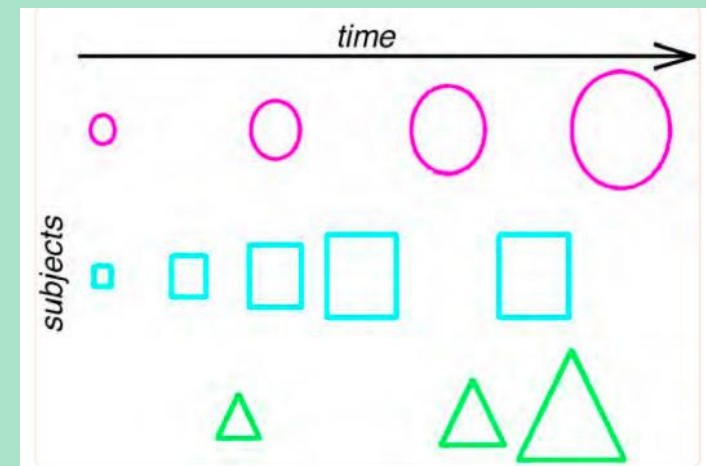
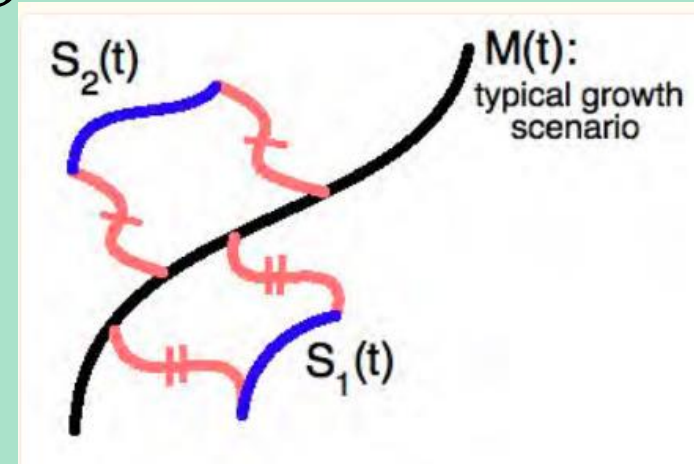
- Need to do subject by subject deformations across time:
  - Time passes on differently for different subjects
- Need to do subject by subject temporal deformations from atlas
  - Thus need an atlas,  $M(t)$ , typically a kind of mean
- Need to do inter-subject deformations from atlas
  - Thus need an atlas,  $M(t)$ , typically a kind of mean
- Want to include covariates such as age (especially) and gender in the analysis



# Longitudinal Analysis of Shape via Space Deformations, 3

- Issues

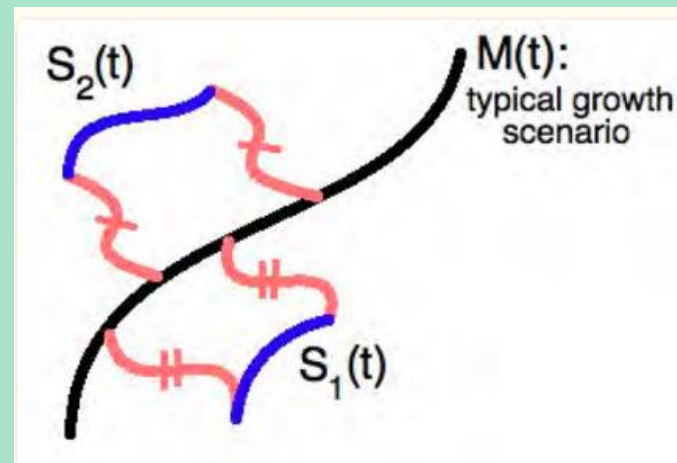
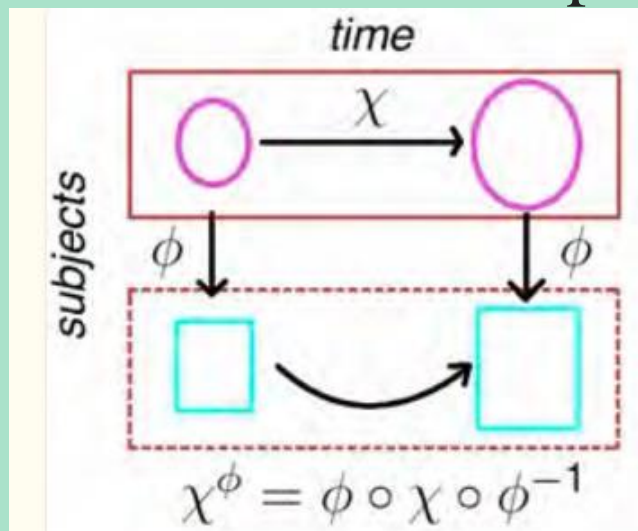
- Need to do subject by subject deformations across time:  $S_i(t)$
- Need to do subject by subject temporal deformations from atlas
  - Time passes on differently for different subjects
- Need to do inter-subject deformations from atlas
  - Thus need an atlas,  $M(t)$ , typically a kind of mean



# Subject-specific Longitudinal Analysis of Shape via Space Deformations



- Let  $\chi(t)$  be deformation of shape across time of atlas
  - Let  $\chi_j(t)$  be deformation of shape across time of subject  $j$
  - Let  $\phi_{jt}(\underline{x})$  be deformation of shape at time  $t$  from the atlas of subject  $j$

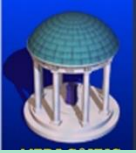


- Via LDDMM approach minimize

$$E(\chi) = \sum_{t_i} d(\chi_{t_i}(M_0), S_i)^2 + \gamma^x \text{Reg}(\chi)$$



# Subject-specific Longitudinal Analysis of Shape via Space Deformations



- Let  $\chi(t)$  be deformation of shape across time of atlas
  - Let  $\chi_j(t)$  be deformation of shape across time of subject  $j$
- But time runs differently for each subject
  - Let  $\psi(t)$  deform time:

$$\Phi(x, y, z, t) = (\phi(x, y, z, \psi(t)), \psi(t))$$

where the geometrical part has the form

$$\phi(x, y, z, t) = \chi_t \circ \phi_0 \circ \chi_t^{-1}(x, y, z)$$

- Via LDDMM approach minimize

$$E(\phi, \psi) = \sum_{t_j} d(\phi(S(\psi(t_j))), U_{t_j})^2 + \gamma^\phi \text{Reg}(\phi) + \gamma^\psi \text{Reg}(\psi)$$

- where  $U_{t_j}$  is the shape of object  $j$  at time  $t$

